



**North Carolina Department of Health and Human Services
Division of Medical Assistance**

2501 Mail Service Center • Raleigh, N. C. 27699-2501 • Tel 919-855-4100 • Fax 919-733-6608

Beverly Eaves Perdue, Governor
Lanier M. Cansler, Secretary

Craigian L. Gray, MD, MBA, JD, Director

August 24, 2009

MEMORANDUM:

TO: Distribution:

- | | |
|---|---|
| CAP/DA Lead Agency Directors | Director, Division of Social Services |
| CAP/DA Supervisors and Case Managers | Director, Division of Aging |
| County Directors of Social Services | Director, Division of Facility Services |
| Regional Directors of Social Services | Director, Division of Public Health |
| Medicaid Program Representatives | Director, Division of Vocational Rehabilitation Services |
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| Director, Office of Long Term Supports and Services | |
| Director, Division of Services for the Blind | |
| Director, Division of Services for the Deaf and Hard of Hearing | |
| Director, Division of Mental Health, Developmental Disabilities, and Substance Abuse Services | |

FROM: Craigian L. Gray, MD, MBA, JD, Director 

SUBJECT: Freeze on slots for the Community Alternatives Program for Disabled Adults (CAP/DA)

The Division of Medical Assistance (DMA) has been directed by the North Carolina General Assembly through the Joint Conference Committee Report On The Continuation, Expansion And Capital Budgets, Section G, Item 170 of the 2009 Appropriations Act SL 2009-451 to freeze slots in the Community Alternatives Program for Disabled Adults (CAP/DA) in order to meet the budget reduction goals for State Fiscal Years 2010 and 2011. In response to this mandate, the maximum number of CAP/DA slots available to each county will be limited to the number of current slots filled effective on September 1, 2009.

DMA will continue to evaluate CAP/DA expenditures and the overall Medicaid budget situation to determine if the freeze can be amended to allow additional slot allocations for State Fiscal Years 2010 and 2011. Currently, it is difficult to project what we will face in the coming months. In an effort to lessen the impact and duration of this budget crisis, diligence in ascertaining participant needs should be practiced to ensure participants are being served at an appropriate level of care.

I am very grateful for the efforts of dedicated CAP/DA workers across the state and especially grateful for your continuing efforts in these difficult times. Please direct any questions about the implementation of this freeze to the CAP/DA Unit in the Facility and Community Care Section by calling (919) 855-4340 or faxing (919) 715-2372.





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Memorandum

To: CAP/DA Lead Agency Directors, Supervisors and Case Managers

From: Michael R. Howard 
Acting Manager for CAP/DA, PACE, and PCS

Re: CAP/DA Slot Freeze

Attached is a copy of the Administrative Memorandum from Dr. Craig L. Gray, Director, Division of Medical Assistance, announcing a freeze on the Community Alternatives Program for Disabled Adults (CAP/DA) which will be effective September 1, 2009.

This freeze will be implemented as follows:

1. The number of CAP/DA slots allocated to each lead agency will be based on your current active caseload as defined by the following criteria provided that your agency has an available slot as of August 31, 2009. A slot is defined as filled if:
 - a) Clients currently receiving CAP/DA services;
 - b) New EDS prior approvals with a Service Review Number (SRN) effective prior to September 1, 2009; and
 - c) A client who meets all other eligibility requirements for participation in the CAP/DA program.
2. Clients who transfer from another county, CAP/C clients who have aged out, or clients who are transitioning from a nursing facility and have a Money Follows the Person (MFP) designation are considered eligible for a slot. If there are no available slots then the Lead Agency may borrow against a future vacancy. Approval is required from DMA.
3. For a client who has an appeal on an initial denial prior to September 1, 2009 with the denial decision later overturned, a slot can be borrowed against a future vacated slot. Approval is required from DMA.
4. Clients, who are in short term rehabilitation, less than 30 days, are able to return to their original slot provided that an active indicator code is still in the system.

In order for DMA to establish your county baseline slot allocation, your lead agency will need to complete the attached spreadsheet by September 5, 2009. This spreadsheet will include: names of all active and prior approved clients, Medicaid Identification Number, Social Security Number, Level of Care, Prior Authorization Number, and Date of Prior Approval. This spreadsheet should be password protected and submitted via email to your assigned CAP/DA Consultant. The information from each county spreadsheet will be compiled and your agency will be sent official notification of your county slot allocation.

If you have questions or a situation that is not covered by the above guidance, please contact your CAP consultant, WRenia Bratts-Brown (wrenia.bratts-borwn@dhhs.nc.gov) at 919.855.4371, Pamela Howard (Pamela.howard@dhhs.nc.gov) at 336.957.3673, or Edwina Thompson (Edwina.thompson@dhhs.nc.gov) at 919.855.4370. DMA will continue to evaluate CAP/DA expenditures and the overall Medicaid budget situation to determine if the freeze can be amended to allow additional slot allocations for State Fiscal Years 2010 and 2011.

Attachment

