

FORM ACF-202 – TANF CASELOAD REDUCTION REPORT

Date of Completion December 5, 2015

State: North Carolina

Fiscal Year to which credit applies: 2016

Overall Report (check one)
Two-parent Report

Apply the overall credit to the two-parent participation rate? yes
 no

PART 1 –Eligibility Changes Made Since FY 2005 (Complete this section for EACH change)

1. Name of eligibility change: **Special Immigrant Status Granted to Iraqi and Afghan Immigrants**
2. Implementation date of eligibility change: **March 2010**
3. Description of policy, including the change from prior policy:

July 2009 Policy: Iraqi and Afghan aliens granted special status under Section 101 (a)(27) of the Immigration and Nationality Act (INA) are exempt from the 5 year ban on receipt of Federal means-tested TANF public benefits for a time limited period of 8 months for Iraqi aliens and an increase to 8 months for Afghan aliens.

Revised Policy – Iraqi and Afghan aliens granted special status under Section 101 (a)(27) of the Immigration and Nationality Act (INA) are exempt from the 5 year ban on receipt of Federal means-tested TANF public benefits for a time limited period of 6 months for both Iraqi and Afghan aliens. This change was effective March 2010. **This Policy no longer has an impact since the time limit has expired for these immigrants.**

4. Description of the methodology used to calculate the estimated impact of this eligibility change (attach supporting materials to this form):

This Policy no longer impacts the TANF caseload.

5. Estimated average monthly impact of this eligibility change on caseload in comparison year: 0

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1. Name of eligibility change: **Work First Benefits**
2. Implementation date of eligibility change: **April 2011**
3. Description of policy, including the change from prior policy:

September 2009 Policy: Policy was revised to mandate that in order to receive a Work First Family Assistance (WFFA/TANF) check, all aspects of the recipients' Mutual Responsibility Agreement (MRA) Core Requirements and the MRA Plan of Action must be met, unless there is good cause. This includes completing the required hours of work, training, education, or other activities. Work First cash assistance checks will be issued the month after completing all requirements on the MRA for the benefit month.

Revised Policy: If the recipient complies with his/her MRA, the administrative reopen and administrative reapplication automated processes can be used for Work First Benefits (WFB) cases that have closed without having to complete the manual application process. This change was effective April 2011.

4. Description of the methodology used to calculate the estimated impact of this eligibility change: (attach supporting materials to this form)

The methodology used to calculate this impact comes from two systems: the Eligibility Information System (EIS), which is the old legacy system for TANF, and a new case management system, North Carolina Families Accessing Services through Technology, referred to as NC FAST.

Using both systems, a count of the number of Work First Benefits cases closed or sanctioned each month due to non-compliance with the MRA was generated. A count of the number of cases closed or sanctioned that subsequently come back on was calculated and a 5% reduction was applied to the result.

The codes used from EIS include: TANF cases transferring to Medicaid in FFY2015 with program transfer codes of '83' (You failed to meet the requirements of your MRA and will no longer receive Work First Benefits). Your Medicaid will continue; '5T' (You failed to complete work activities listed on the MRA); and '9A' (You failed to cooperate with substance abuse treatment.)

The codes used from NC FAST include: 'NFCC5036' (Client failed to meet requirements of MRA); 'NFSR5008' (Work First Family Assistance Employment Services Non-Compliance); 'NFSR5010' and 'NFSR5018' (Failure to participate in required substance abuse treatment; Failure to participate in required Substance Use Treatment).

For FFY2015, the effect of this policy is 493.

5. Estimated average monthly impact of this eligibility change on caseload in comparison year: 493

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1. Name of eligibility change: **Job Quit Penalty**
2. Implementation date of eligibility change: **March 2010**
3. Description of policy, including the change from prior policy:

The Job Quit Penalty was implemented in March 2010. Under this policy, a family will be ineligible for Work First Family Assistance for a period of three months if the participant quits a job without good cause or is dismissed from a job due to work place behavior. The ineligible period is defined as the month of quit and the next two months (effective March 2010).

April 2012: A new Job Quit History tracking screen was created in the Eligibility Information System (EIS) to assist caseworkers in identifying individuals with a Job Quit penalty. Policy was also expanded to clarify the Job Quit penalty period.

4. Description of the methodology used to calculate the estimated impact of this eligibility change: (attach supporting materials to this form)

The methodology used to calculate this impact for FY2015 comes from two systems: the Eligibility Information System (EIS), which is the old legacy system for TANF, and a new case management system, North Carolina Families Accessing Services through Technology, referred to as NC FAST.

The methodology used in EIS is the actual number of TANF cases in FFY2015 denied with code 'C5' (Your family is unable to receive Work First Family Assistance because you are in a Job Quit penalty.) and TANF cases transferred to Medicaid with transfer code '8B' (Your family is no longer eligible for Work First Family Assistance. You quit a job without good cause or were dismissed due to workplace behavior. Your family will continue to receive Medicaid.).

The methodology used in NC FAST is the actual number of TANF cases sanctioned for Job Quit with code 'NFSR5003' which resulted in a case closure with code 'NFCC5078'. If the family is new to Work First/TANF, Job Quit is not applied at application. However, if the family has received Work First/TANF in the past and Job Quit was explained, then the Job Quit sanction may be applied at application.

For FFY2015, the effect of this policy is 5.

5. Estimated average monthly impact of this eligibility change on caseload in comparison year: 5

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Date of Completion December 5, 2015

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1. Name of eligibility change: **Substance Use Testing**

2. Implementation date of eligibility change: **August 1, 2015**

3. Description of policy, including the change from prior policy:

The North Carolina General Assembly revised North Carolina General Statute 108A-29.1, to require screening of each applicant for or recipient of Work First (TANF) program assistance whom the Department reasonably suspects is engaged in the illegal use of controlled substances. When reasonable suspicion has been established, the drug test for Work First program applicants and recipients becomes a condition of eligibility.

If the applicant or recipient does not complete either the Alcohol Use Disorder Identification Test (AUDIT) or the Drug Abuse Screening Test (DAST-10), refuses testing, or has a confirmed positive test result, the applicant or recipient is ineligible for Work First cash assistance. The remaining household members may be eligible to receive Work First.

4. Description of the methodology used to calculate the estimated impact of this eligibility change (attach supporting materials to this form):

The methodology used to calculate this impact for FY2015 comes from the case management system, North Carolina Families Accessing Services through Technology (NC FAST). The actual number of TANF cases closed due to Substance Use related sanctions when no remaining household members are eligible were calculated. The Substance Use related sanction codes include:

NFSR5019 – Refused AUDIT/DAST-10 Assessment; NFSR5010 – Failure to participate in required substance abuse treatment; NFSR5016 – Positive Substance Use Test; NFSR5017 – Failure to attend QPSA Appointment/Substance Use Test; and NFSR5018 – Failure to participate in required Substance Use Treatment.

For FFY2015, the effect of this policy is 0.

5. Estimated average monthly impact of this eligibility change on caseload in comparison year: 0

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PART 2 – Estimate of Caseload Reduction Credit

(Complete Part 2 using Excel Workbook provided.)

FORM ACF-202 – TANF CASELOAD REDUCTION REPORT
Overall Credit

	A	B	C	D	E	F
1	North Carolina			Fiscal Year to which credit applies:		2016
2				Date of Completion:	12/4/2015	
3	PART 2 – Estimate of Caseload Reduction Credit					
4						
5	<u>Impact of All Changes</u>			<u>Caseload Reduction Calculation</u>		
6	Iraqi and Afghan Immigrants	0		FY 2005 TANF Caseload	33,773	
7	Work First Benefits	493		FY 2005 SSP Caseload		
8	Job Quit Penalty	5		Total FY 2005 Caseload	33,773	
9	Substance Use Testing	0		FY 2015 TANF Caseload	9,955	
10				FY 2015 SSP Caseload		
11				Total FY 2015 Caseload	9,955	
12				Excess MOE Cases in FY 2015	1,118	
13				Adjusted FY 2015 Caseload	8,837	
14				Caseload Decline	24,936	73.8%
15				Decline – Net Impact	25,434	
16						
17				Caseload Reduction Credit =		73.8%
18						
19						
20						
21						
22						
23						
24						
25						
26	Net Impact	498				
27						
28						

**FORM ACF-202 – TANF CASELOAD REDUCTION REPORT
Two-Parent Credit**

	A	B	C	D	E	F
1	North Carolina			Fiscal Year to which credit applies:		2016
2				Date of Completion:	12/4/2015	
3	PART 2 – Estimate of Caseload Reduction Credit -- 2-Parent Caseload					
4						
5	Impact of All Changes			Caseload Reduction Calculation		
6				FY 2005 TANF 2-Parent Caseload		
7				FY 2005 SSP 2-Parent Caseload		
8				Total FY 2005 Caseload	0	
9				FY 2015 TANF 2-Parent Caseload		
10				FY 2015 SSP 2-Parent Caseload		
11				Total FY 2015 2-Parent Caseload	0	
12				Excess MOE 2-Parent Cases in FY 2015	0	
13				Adjusted FY 2015 Caseload	0	
14				Caseload Decline	0	0.0%
15				Decline – Net Impact	0	
16						
17				2-Parent Caseload Reduction Credit =		0.0%
18						
19						
20						
21						
22						
23						
24						
25						
26	Net Impact		0			
27						
28						

North Carolina

Policy Name

Iraqi and Afghan Immigrants

Date of Completion: 12/4/2015

	Impact on Each Month in FY 2015												Grand Total	
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep		
Time of Closure														
Prior years carryover														
Oct														
Nov														
Dec														
Jan														
Feb														
Mar														
Apr														
May														
Jun														
Jul														
Aug														
Sep														
Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FY 2015 monthly average													0	

North Carolina

Policy Name

Work First Benefits

Date of Completion: 12/4/2015

	Impact on Each Month in FY 2015												Grand Total
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	
Time of Closure													
Prior years carryover	13	12	12	11	11	10	10	9	9	8	8	7	
Oct	166	8	8	7	7	7	6	6	6	6	5	5	
Nov		233	12	11	11	10	9	9	9	8	8	7	
Dec			383	19	18	17	16	16	15	14	13	13	
Jan				433	22	21	20	19	18	17	16	15	
Feb					431	473	24	22	21	20	19	18	
Mar						473	24	22	21	20	19	18	
Apr							374	19	18	17	16	15	
May								343	17	16	15	15	
Jun									367	18	17	17	
Jul										389	19	18	
Aug											385	19	
Sep												383	
Total	179	253	415	481	500	1,011	483	465	501	533	540	550	5,911
	FY 2015 monthly average												493

North Carolina

Policy Name

Job Quit

Date of Completion: 12/4/2015

	Impact on Each Month in FY 2015												Grand Total	
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep		
Time of Closure														
Prior years carryover														
Oct	3	3	3	0	0	0	0	0	0	0	0	0	0	
Nov		0	0	0	0	0	0	0	0	0	0	0	0	
Dec			0	0	0	0	0	0	0	0	0	0	0	
Jan				0	0	0	0	0	0	0	0	0	0	
Feb					0	0	0	0	0	0	0	0	0	
Mar						0	0	0	0	0	0	0	0	
Apr							3	3	3	3	0	0	0	
May								1	1	1	0	0	0	
Jun									6	6	6	6	0	
Jul										2	2	2	2	
Aug											4	4	4	
Sep												2	2	
Total	3	3	3	0	0	0	3	4	10	9	12	8	8	55
													FY 2015 monthly average	5

North Carolina

Policy Name

Substance Use Testing

Date of Completion: 12/4/2015

	Impact on Each Month in FY 2015												Grand Total
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	
Time of Closure													
Prior years carryover													
Oct													
Nov													
Dec													
Jan													
Feb													
Mar													
Apr													
May													
Jun													
Jul													
Aug													0
Sep													0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
FY 2015 monthly average												0	

**FORM ACF-202 – TANF CASELOAD REDUCTION REPORT
Excess MOE Worksheet**

	A	B	C	D	E	F
1	North Carolina			Fiscal Year to which credit applies:		2016
2				Date of Completion:		12/4/2015
3	<u>Excess MOE Calculation Worksheet</u>					
4						
5	Caseload Data			Expenditure Data		
6	FY 2005 TANF Caseload	33,773		Total Expenditures		
7	FY 2005 SSP Caseload	0		FY 2015 Total Federal Expenditures		\$303,828,831
8	Total FY 2005 Caseload	33,773		FY 2015 Total MOE Expenditures		\$223,692,001
9	FY 2015 TANF Caseload	9,955		Total Expenditures (Federal + MOE)		\$527,520,832
10	FY 2015 SSP Caseload	0				
11	Total FY 2015 Caseload	9,955		Assistance Expenditures		
12				FY 2015 Federal Expenditures on Assistance		\$52,293,388
13	2-Parent Caseload Data			FY 2015 MOE Expenditures on Assistance		
14	FY 2005 2-p TANF Caseload	0		Total Expenditures on Assistance (Federal + MOE)		\$52,293,388
15	FY 2005 2-p SSP Caseload	0		Percentage of Expenditures on Assistance		9.91%
16	Total FY 2005 Caseload	0				
17	FY 2015 2-p TANF Caseload	0		Expenditures Per Case		
18	FY 2015 2-p SSP Caseload	0		Average Expenditures per Case		\$52,991
19	Total FY 2015 Caseload	0		Average Expenditures per Case on Assistance		\$5,253
20						
21				MOE and Excess MOE		
22				Required MOE (80% or 75%)		\$164,454,147
23				Excess MOE Expenditures		\$59,237,854
24				Excess MOE Expenditures on Assistance		\$5,872,276
25	Adjusted Caseload Data					
26	Adjusted FY 2015 Overall Caseload	8,837		Assistance Cases Funded by Excess MOE		1,118
27	Adjusted FY 2015 2-parent Caseload	0		2-Parent Assistance Cases Funded by Excess MOE		0
28						
29						
30						
31						
32						

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PART 3 -- Certification

I certify that we have provided the public an appropriate opportunity to comment on the estimates and methodology used to complete this report and considered those comments in completing it. Further, I certify that this report incorporates all reductions in the caseload resulting from State eligibility changes and changes in Federal requirements since Fiscal Year 2005.

(signature)

Wayne E. Black

(name)

Director

(title)