

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>FCL092198</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING _____	(X3) DATE SURVEY COMPLETED  <b>07/30/2015</b>
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NAME OF PROVIDER OR SUPPLIER  <b>GRACIE STURDIVANT AT NORTH RALEIGH</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>2445 DEANWOOD DR RALEIGH, NC 27615</b>
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C 000	Initial Comments  The Adult care Licensure Section conducted an initial survey on 7/30/15.	C 000		
C 140	10A NCAC 13G .0405(a)(b) Test For Tuberculosis  10A NCAC 13G .0405 Test For Tuberculosis (a) Upon employment or living in a family care home, the administrator, all other staff and any live-in non-residents shall be tested for tuberculosis disease in compliance with control measures adopted by the Commission for Health Services as specified in 10A NCAC 41A .0205 including subsequent amendments and editions. Copies of the rule are available at no charge by contacting the Department of Health and Human Services. Tuberculosis Control Program, 1902 Mail Service Center, Raleigh, NC 27699-1902. (b) There shall be documentation on file in the home that the administrator, all other staff and any live-in non-residents are free of tuberculosis disease that poses a direct threat to the health or safety of others.  This Rule is not met as evidenced by: Based upon record review and interview, the facility failed to assure 1 of 3 sampled staff (B) was tested for tuberculosis disease (TB) upon employment in compliance with control measures adopted by the Commission for Health Services. The findings are:  Review of the employee record for Staff B revealed: - Staff B was hired on 5/25/15 as a personal care aide. - A TB skin test was placed on 11/13/14. - There was no record of the TB skin test screen	C 140	<p><i>Plan of correction + measures to ensure completeness:</i></p> <ul style="list-style-type: none"> <li>- pre plan each chart prior to admission.</li> <li>- Check charts ENTIRE prior + post admission</li> <li>- administrator + Home manager will also check emplaced admission charts for accuracy completeness.</li> </ul> <p>8/22/15</p> <p>TB TEST done 8/1/15 - Read 8/4</p>	

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

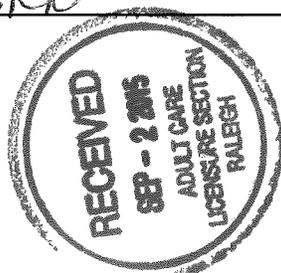
*Wattie Daulton*

TITLE

*owner*

(X6) DATE

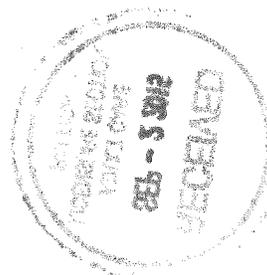
*8/26/15*



*9/21/15 approved kmulir*

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C 140	<p>Continued From page 1</p> <p>having been read.</p> <ul style="list-style-type: none"> <li>- There was no <u>documentation</u> of any other TB skin testing in the record.</li> </ul> <p>Interview on 7/30/15 at 3:10 p.m. with the Administrator / Owner revealed:</p> <ul style="list-style-type: none"> <li>- Staff B had a TB skin test when she worked in her other facility.</li> <li>- The Administrator / Owner thought the result was in the record.</li> <li>- Staff B had been out on leave for about six weeks and came back to work in this new facility and the old facility.</li> <li>- Staff B had not had any other TB skin test screening.</li> <li>- She would get the first test result and put it in the record.</li> </ul> <p>No further TB testing information was provided by the end of the survey.</p>	C 140	<p><i>PLS SEE COPY TB TEST WAS IN EMPLOYEE'S CHART ALONG WITH SCREENING. SCREENING 11/13/14 RESULTS 11/15/14 SEE ATTACHMENT.</i></p> <p><i>plan of correction + same as stated on front page (1) Home manager will also check charts on monthly basis for additional staff credentials + CEUs</i></p>	8/25/15
C 147	<p>10A NCAC 13G .0406(a)(7) Other Staff Qualifications</p> <p>10A NCAC 13G .0406 Other Staff Qualifications (a) Each staff person of a family care home shall:</p> <p>(7) have a criminal background check in accordance with G.S. 114-19.10 and G.S. 131D-40;</p> <p>This Rule is not met as evidenced by: Based on interview and record review the facility failed to assure 2 of 3 sampled staff (A and B) had criminal background checks in accordance with G.S. 114-19.10 and G.S. 131D-40. The findings are:</p> <ol style="list-style-type: none"> <li>1. Review of the employee record for Staff A</li> </ol>	C 147	<p><i>CRIMINAL REPORT DONE ON</i></p>	



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C 147	<p>Continued From page 2</p> <p>revealed:</p> <ul style="list-style-type: none"> <li>- Staff A was hired on 5/25/10.</li> <li>- Staff A was hired as a medication aide and supervisor.</li> <li>- There was no documentation of a Statewide criminal history background check for Staff A.</li> <li>- There was a local county criminal history background check only dated 2/16/09.</li> </ul> <p>Interview on 7/30/15 at 3:20 p.m. with Staff A revealed:</p> <ul style="list-style-type: none"> <li>- He had worked at the other facility owned by the Administrator / Owner for years.</li> <li>- He was hired to this facility when it opened in March 2015.</li> <li>- He passed medications in the facility.</li> <li>- A company was used to check for criminal background and drug screens on newly hired staff in this facility.</li> <li>- He thought it was a State and a Federal check.</li> <li>- He would bring a copy of his criminal history background check from the other facility to this facility.</li> </ul> <p>Interview on 7/30/15 at 3:20 p.m. with the Administrator / Owner revealed:</p> <ul style="list-style-type: none"> <li>- Some of the staff records were not at this facility.</li> <li>- Staff A had a criminal background check at the other facility and she would bring a copy to this facility.</li> </ul> <p>No Statewide criminal history background check was provided by the end of there survey.</p> <p>Refer to the interview on 7/30/15 at 3:20 p.m. with the Administrator / Owner.</p> <p>2. Review of the employee record for Staff B revealed:</p>	C 147	<p><i>Criminal Report forwarded as discussed during survey.</i></p> <p><i>Criminal Report forwarded as discussed @ time of survey. Staff A owns bst facility in Knightdale + will attach name to facility in North Dal.</i></p>	

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C 147	<p>Continued From page 3</p> <ul style="list-style-type: none"> <li>- Staff B was hired to this facility on 5/25/15.</li> <li>- Staff B was hired as a personal care aide (PCA).</li> <li>- There was no documentation of a criminal history background check for Staff B.</li> </ul> <p>Interview on 7/30/15 at 10:45 a.m. with the PCA revealed:</p> <ul style="list-style-type: none"> <li>- She had been hired to work in both facilities owned by the Administrator.</li> <li>- She had worked for some time in the other facility, then was out for about six weeks and came back to this new facility.</li> <li>- She was hired as a PCA.</li> <li>- She helped residents with activities of daily living, cooked, cleaned and provided activities for residents.</li> <li>- She was not a <u>medication aide</u> but was studying to take the medication administration test.</li> </ul> <p>Interview on 7/30/15 at 3:15 p.m. with the Administrator / Owner revealed:</p> <ul style="list-style-type: none"> <li>- Staff B had worked at the other facility she owned as a PCA.</li> <li>- Staff B had been out for a while and came back to her same job.</li> <li>- Staff B had a criminal history background check obtained at her previous facility.</li> <li>- She had been at this facility for a short while and would be back at the other facility as well.</li> <li>- She would bring a copy of the criminal background check to this facility.</li> </ul> <p>Refer to the interview on 7/30/15 at 3:20 p.m. with the Administrator / Owner.</p> <hr/> <p>Interview on 7/30/15 at 3:20 p.m. with the</p>	C 147	<p><i>plan of correction same as pg I. need to keep <del>my</del> calendar of ongoing staff requirements. that is monitored by house manager &amp; administrator.</i></p> <p><i>done</i></p> <p><i>done - see attachment as discussed during survey.</i></p>	<p><i>8/29/15</i></p>

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C 147	Continued From page 4  Administrator revealed: - She had not made copies of all of the staff records for this facility from her other facility for staff who worked at both of the facilities. - She obtained all background checks from a local company who obtained criminal background checks as needed.	C 147		
C 202	<p>10A NCAC 13G .0702(a) Tuberculosis Test and Medical Examination</p> <p>10A NCAC 13G .0702 Tuberculosis Test and Medical Examination (a) Upon admission to a family care home each resident shall be tested for tuberculosis disease in compliance with the control measures adopted by the Commission for Health Services as specified in 10A NCAC 41A .0205 including subsequent amendments and editions. Copies of the rule are available at no charge by contacting the Department of Health and Human Services, Tuberculosis Control Program, 1902 Mail Service Center, Raleigh, North Carolina 27699-1902.</p> <p>This Rule is not met as evidenced by: Based on interview and record review, the facility failed to assure 1 of 2 facility residents (#1) had been be tested for tuberculosis disease (TB) screening in compliance with the control measures adopted by the Commission for Health Services. The findings are:</p> <p>Review of the current FL-2 dated 5/11/15 for Resident #1 revealed there was no date of admission listed.</p> <p>Review of the Resident Register dated 5/06/15 revealed Resident #1 was admitted on 5/06/15.</p>	C 202	<p><i>done - see attachment.</i></p> <p><i>see sensitive notes / lcr</i></p>	



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C 202	<p>Continued From page 5</p> <p>Review of the record for Resident #1 revealed:</p> <ul style="list-style-type: none"> <li>- There was no documentation of a TB skin test screening completed upon admission.</li> <li>- A chest x-ray dated 1/03/15 was in the record.</li> <li>- The chest x-ray listed no evidence of TB.</li> <li>- There was no indication the chest x-ray was obtained for a positive TB skin test.</li> </ul> <p>Interview on 7/30/15 at 2:50 p.m. with the Administrator / Owner revealed:</p> <ul style="list-style-type: none"> <li>- The resident had a chest x-ray before admission.</li> <li>- The resident came directly from another state for admission with the documentation of the chest x-ray.</li> <li>- The Administrator / Owner did not agree that the chest x-ray was not acceptable for resident TB testing as it was the most definitive testing for TB.</li> <li>- The Administrator thought the chest x-ray was acceptable for screening residents for TB.</li> <li>- She thought only the staff needed TB skin testing upon hire.</li> <li>- TB skin testing had not obtained for Resident #1.</li> <li>- She did not want to put the elderly resident through the TB skin testing.</li> <li>- She had not discussed this with the physician nor the resident's family.</li> </ul>	C 202	<p><i>TB Test placed 8/26 - to be read on 8/29 or 8/30 - SEE ATTACHMENT</i></p>	8/29/15
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