

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: HAL049028	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED R 10/20/2015
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NAME OF PROVIDER OR SUPPLIER AURORA OF STATESVILLE	STREET ADDRESS, CITY, STATE, ZIP CODE 1902 ORA DRIVE STATESVILLE, NC 28625
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
{D 000}	<p>Initial Comments</p> <p>The Adult Care Licensure Section conducted a follow-up survey on October 19 & 20, 2015.</p> <p>D 137 10A NCAC 13F .0407(a)(5) Other Staff Qualifications</p> <p>10A NCAC 13F .0407 Other Staff Qualifications (a) Each staff person at an adult care home shall: (5) have no substantiated findings listed on the North Carolina Health Care Personnel Registry according to G.S. 131E-256;</p> <p>This Rule is not met as evidenced by: TYPE B VIOLATION</p> <p>Based on record review and interviews the facility failed to ensure 1 of 6 newly hired staff had no substantiated findings on the North Carolina Health Care Personnel Registry (HCPR) before hire.</p> <p>The findings are:</p> <p>Review of staff qualifications on 10/20/15 revealed Staff C was hired on 10/14/15 as a Personal Care Aide/Medication Aide.</p> <p>Review of the HCPR Verification Results form for Staff C revealed: -A date of 10/19/15 at the bottom of the form. -The Nurse Aide I Registry listing for Staff C had "expired". -"[Staff C's name, social security number], has 2 substantiated findings(s) of Misappropriation of Resident Property, which occurred while the individual was employed in a Home Care Agency. This information was entered on the Registry</p>	{D 000}	<p>In accordance with rule 10A NCAC 13 F .0407(a)(5) Other Staff Qualifications, Aurora of Statesville has ensured that all employees have no substantiated findings listed on the North Carolina Personnel Registry.</p> <p>Aurora of Statesville will utilize the "New Hire Checklist" (Attachment A) to ensure all new hires have had a Health Care Registry check completed before hire, and before invitation to orientation.</p> <p>The Business Office Manager will receive the applications of all applicants, search, review, and attach the healthcare registry for the hiring managers' review, using "Orientation Policy & Procedure" (Attachment B)</p>	December 4 th , 2015 and ongoing.

Division of Health Service Regulation
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

Brian Roberts

TITLE

Administrator

(X6) DATE

11-18-2015

Reviewed and Accepted by RW on 11/20/15

Lita Wilson, RW, BSN

Division of Health Service Regulation

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D 137	Continued From page 1 01/31/2014." Review of the staffing schedule revealed Staff C was scheduled to work 3pm-11pm on 10/19/15 and 10/20/15. Interview with the facility Administrator on 10/20/15 at 2:45pm revealed: -She checked the HCPR for all new employees before hire and had never had one come back with substantiated findings. -She was not aware Staff C had substantiated findings listed on the HCPR. -"I guess I just overlooked" the substantiated findings for Staff C on the results form. -She thought the HCPR had been checked for Staff C before the hire date of 10/14/15 but could not verify that it had been done. -She did not know why the date on the bottom of the form was 10/19/15. -Staff C had not worked independently, was still in training and had not been assigned independent personal care task for any residents yet. A Plan of Protection was submitted by the facility on 10/20/15 that included: -Staff C will be terminated immediately. -The Business Office Manager will check the HCPR for all potential employees prior to hire and thoroughly review the results for any substantiated findings. CORRECTION DATE FOR THE TYPE B VIOLATION SHALL NOT EXCEED DECEMBER 04, 2015.	D 137	Business Office Manager and Health Care Coordinators will be responsible for thoroughly reading each applicant's health care registry before an invitation to orientation. Administrator of Aurora of Statesville will conduct a final review of employee files using the "New Hire Checklist" (Attachment A), and "Orientation Policy and Procedure" (Attachment B). In accordance with rule 10A NCAC 13 F .0407(a)(5) Other Staff Qualifications, Aurora of Statesville has ensured that all employees have no substantiated findings listed on the North Carolina Personnel Registry.	
{D912}	G.S. 131D-21(2) Declaration of Residents' Rights	{D912}		

*December 4
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{D912}	<p>Continued From page 2</p> <p>G.S. 131D-21 Declaration of Residents' Rights Every resident shall have the following rights: 2. To receive care and services which are adequate, appropriate, and in compliance with relevant federal and state laws and rules and regulations.</p> <p>This Rule is not met as evidenced by: Based on observations, interviews and record reviews, the facility failed to assure all residents received care and services which were adequate, appropriate, and in compliance with relevant federal and state laws and rules and regulations related to other staff qualifications.</p> <p>The findings are: Based on record review and interviews the facility failed to ensure 1 of 6 newly hired staff had no substantiated findings on the North Carolina Health Care Personnel Registry (HCPR) before hire. ([Refer to Tag D137 10A NCAC 13F .0407(a) (5) Other Staff Qualifications Type B Violation.]</p>	{D912}	<p>Aurora of Statesville will utilize the "New Hire Checklist" (Attachment A) to ensure all new hires have had a Health Care Registry check completed before hire, and before invitation to orientation.</p> <p>The Business Office Manager will receive the applications of all applicants, search, review, and attach the healthcare registry for the hiring managers' review, using "Orientation Policy & Procedure" (Attachment B)</p> <p>Business Office Manager and Health Care Coordinators will be responsible for thoroughly reading each applicant's health care registry before an invitation to orientation.</p> <p>Administrator of Aurora of Statesville will conduct a final review of employee files using the "New Hire Checklist" (Attachment A), and "Orientation Policy and Procedure" (Attachment B).</p>	

