

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>HAL076007</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: <b>01</b>  B. WING _____	(X3) DATE SURVEY COMPLETED  <b>05/19/2016</b>
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NAME OF PROVIDER OR SUPPLIER  <b>BROOKDALE ASHEBORO</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>514 VISION DRIVE ASHEBORO, NC 27203</b>
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C 000	<p>Initial Comments</p> <p>Report of a Biennial Construction Survey conducted by Billy Bryant on 05/19/2016.</p> <p>Records indicate that this facility was first licensed on 02/13/1997 as a HA. The facility is currently licensed for 76 Beds with a 24 Bed Special Care Unit. Therefore the facility was surveyed for conformance with the applicable portions of the 2005 Rules for Licensing of Adult Care Homes of Seven or More Beds, and applicable portions of the 1996 (1997 Revisions) North Carolina State Building Codes, and the 1996 Minimum Standards and Regulations for Homes for the Aged in effect at time of initial licensure.</p>	C 000	<p>CONSTRUCTION SECTION</p> <p>JUL 08 2016</p> <p>RECEIVED</p>	7/1/16
C 101	<p>Existing Licensed Fac- No less than '71 Rules</p> <p>SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0301 APPLICATION OF PHYSICAL PLANT REQUIREMENTS</p> <p>The physical plant requirements for each adult care home shall be applied as follows: (2) Except where otherwise specified, existing licensed facilities or portions of existing licensed facilities shall meet licensure and code requirements in effect at the time of construction, change in service or bed count, addition, renovation, or alteration; however in no case shall the requirements for any licensed facility where no addition or renovation has been made, be less than those requirements found in the 1971 "Minimum and Desired Standards and Regulations" for "Homes for the Aged and Infirm", copies of which are available at the Division of Health Service Regulation at no cost;</p>	C 101		7/1/16

Division of Health Service Regulation  
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE



TITLE

Executive Dir

(X8) DATE

7/1/16

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C 101	<p>Continued From page 1</p> <p>This Rule is not met as evidenced by:</p> <p>1. Based on observation the facility does not meet the building code requirements in effect at the time of construction as evidenced by some items not in compliance with code requirements.</p> <p>Findings on 05/19/2016:</p> <p>a. Special Care Unit - The exit door that has a painted landscape scene does not have the required signage with required wording for a delayed egress special locking system.</p> <p>b. Special Care Unit Entrance - The door is not a delayed egress special locking system, therefore it is required to have a manual override and a remote release. There is no manual override for the magnetic lock on either side of the entrance door or remote release.</p>	C 101		<p>7/1/16</p> <p>7/1/16</p>
C 164	<p>Housekeeping and Furnishings-Clean, Repaired</p> <p>SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0306 HOUSEKEEPING AND FURNISHINGS</p> <p>(a) Adult care homes shall:</p> <p>(1) have walls, ceilings, and floors or floor coverings kept clean and in good repair;</p> <p>(2) have no chronic unpleasant odors;</p> <p>(3) have furniture clean and in good repair;</p> <p>(e) This Rule shall apply to new and existing facilities.</p> <p>This Rule is not met as evidenced by:</p> <p>1. Based on observation the facility failed to keep ceilings clean by allowing HVAC devices to collect dust and particulate.</p> <p>Finding on 05/19/2016:</p> <p>a. Throughout the building the HVAC return air</p>	C 164		<p>7/1/16</p>

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C 164	Continued From page 2  and exhaust fan grilles are clogged with dust and other particulate.  b. Throughout the building the HVAC duct radiation dampers are coated with dust and other particulate.  c. Laundry Room - The dryer exhaust duct is allowing lint to be spread onto the walls of the laundry and accumulate on the HVAC grilles and inside a laundry room closet.  300 Hall, Room #304 - There is a hole in resident's bathroom door.	C 164		7/1/16
C 166	Housekeeping-Maintained Free of Hazards  SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0306 HOUSEKEEPING AND FURNISHINGS (a) Adult care homes shall: (5) be maintained in an uncluttered, clean and orderly manner, free of all obstructions and hazards; (e) This Rule shall apply to new and existing facilities.  This Rule is not met as evidenced by: 1. Based on observation the facility did not store items in a manner so that it was free from hazards. Gas cylinders that are not stored in a rack or otherwise restrained from falling or being knocked over may present a danger to the occupants of the facility.  Finding on 05/19/2016: a. Special Care Unit, Program Coordinator's Office - The helium gas tank is stored standing upright and is not in a stand or otherwise	C 166		7/1/16  7/1/16  7/1/16

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C 166	<p>Continued From page 3</p> <p>restrained from falling or being knocked over.</p> <p>2. Based on observation the facility did not keep items stored in a manner so that it was free from hazards. The required clearance for building equipment must not be encroached upon by stored items. Obstructing access to could prevent quick operation if needed for an emergency situations or for normal repairs.</p> <p>Finding on 05/19/2016:  <input checked="" type="checkbox"/> a. 200 Hall, Dale's Office - Items are stored in front of the electrical panels.</p> <p>3. Based on observation the facility did not store items in manner so that it was free from hazards. Items not stored in rooms constructed for storage could be a fire hazard.</p> <p>Finding on 05/19/2016:  <input checked="" type="checkbox"/> a. Mechanical Room Attic - There are combustible items such as cardboard and used air filters stored in the attic above the mechanical room.</p> <p>4. Based on observation there is a failure to maintain the facility free from hazards. Emergency means of egress/pathways must be kept clear of obstructions and encroachments and not used for storage. In the event of an emergency requiring evacuation from the facility obstructing or encroaching on the width of egress/pathways could effect occupants of the facility by delaying evacuation.</p> <p>Findings on 05/19/2016:            a. Special Care Unit, Adjacent to Room 412 - The path of egress from the corridor exit door through the vestibule to the exterior is impeded by stored items.</p>	C 166		<p>7/1/16</p> <p>7/1/16</p> <p>7/1/16</p> <p>7/1/16</p>

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C 166	Continued From page 4  b. Corridor at Dining Room and Med Room - The required width of the path of egress was infringed upon and narrowed by med carts stored in the corridor.	C 166		7/1/16
C 189	<p>Building Equipment Maintained Safe, Operating</p> <p>SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS</p> <p>(a) The building and all fire safety, electrical, mechanical, and plumbing equipment in an adult care home shall be maintained in a safe and operating condition.</p> <p>(k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities.</p> <p>This Rule is not met as evidenced by:</p> <p>1. Based on observation there is a failure to maintain the facility's fire safety systems in a safe manner as evidenced by gaps and open penetrations in the fire resistant rated ceilings. Fire resistant rated ceilings must be free of gaps and openings in order to resist the spread of fire and smoke in the event of a fire. Penetrations or holes in fire resistant rated ceilings could effect the occupants of the facility by allowing fire and smoke to spread beyond the area of origin.</p> <p>Findings on 05/19/2016:</p> <p>a. Programs and Dining - there are approximately 3/4" diameter holes in the ceiling.</p> <p>✓ b. Mechanical Room, Adjacent to Kitchen: There are approximately 3/4" diameter holes in the ceiling and at the bottom of the walls near the room's</p>	C 189		7/1/16  7/1/16

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C 189	<p>Continued From page 5</p> <p>entrance.</p> <p>2. Based on observation there is a failure to maintain the facility's fire safety equipment in a safe operating condition as evidenced by doors that do not completely close and latch. Doors are required to completely close and latch in the event of a fire in order to resist the passage of smoke or the spread of fire. All the occupants in the facility could be effected if doors do not latch and remain closed to help contain smoke or fire to the area of origin.</p> <p>Findings on 05/19/2016:</p> <p>a. Cross Corridor Door, Adjacent to Room #102 - One leaf of the pair of doors did not completely close.</p> <p>b. Laundry - The door to the corridor drags on the floor, the hinges are pulling loose and the door hits the frame so it will not close.</p> <p>c. Room #206 - The door to the corridor closes but will not latch.</p> <p>d. Kitchen - The door to the dining room is being held open and preventing it from being closed by twine tied to the door pull and a food prep table leg. Note: corrected while the surveyor was on site.</p> <p>3. Based on observation the facility was not maintained in a safe manner by a failure to maintain electrical emergency/safety related equipment in an operating condition. This could effect occupants of the facility if exits signs do not illuminate during an emergency evacuation.</p> <p>Finding on 05/19/2016:</p> <p>a. Dining Room - The emergency exit sign at the</p>	C 189		<p>7/1/16</p> <p>7/1/16</p> <p>7/1/16</p> <p>7/1/16</p> <p>7/1/16</p>

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C 189	Continued From page 6 entrance door to the S.C.U. does not work.  b. Special Care Unit - The emergency exit sign is not working.  4. Based on observation the facility was not maintained in a safe manner by a failure to install electrical wiring as per accepted industry standards. This could present the possibility of electric shock if personnel were to come into contact with energized wiring.  Finding on 05/19/2016: a. Mechanical Room Adjacent to Kitchen - There is exposed electrical wiring connections at an electrical motor and at a junction box near near the PRV.	C 189		7/1/16  7/1/16
C 199	Exhaust Ventilation  SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (g) The spaces listed in this Paragraph shall be provided with exhaust ventilation at the rate of two cubic feet per minute per square foot. This requirement does not apply to facilities licensed before April 1, 1984, with natural ventilation in these specified spaces: (1) soiled linen storage; (2) soil utility room; (3) bathrooms and toilet rooms; (4) housekeeping closets; and (5) laundry area. (k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities.  This Rule is not met as evidenced by:	C 199		

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C 199	<p>Continued From page 7</p> <p>1. Based on observation the facility failed provide the required exhaust ventilation equipment. This could effect occupants of the facility if chemical vapors were to permeate to areas beyond the rooms required to be mechanically exhausted.</p> <p>Findings on 05/19/2016:</p> <p>a. 300 Hall - The room containing the laundry equipment does not have an exhaust fan.</p> <p>b. Special Care Unit Laundry - The room containing the laundry equipment does not have an exhaust fan.</p>	C 199		<p>7/1/16</p> <p>7/1/16</p>

The following is a summary of the Plan of Correction for Brookdale Asheboro. This plan of Correction is in regards to the Corrective Action Report dated May 19, 2016. This Plan of Correction is not to be construed as an admission of or agreement with the findings and conclusions in the Statement of Deficiencies, or any related sanction or fine. Rather, it is submitted as confirmation of our ongoing efforts to comply with the statutory and regulatory requirements. In this document, we have outlined specific actions in response to identified issues. We have not provided a detailed response to each allegation or finding, nor have we identified mitigating factors.

**Section .0300-Physical Plant 10A NCAC 13F.0301 Application of Physical Requirements.**

The physical plant requirements for each adult care home shall be applied as follows: Except where otherwise specified, existing licensed facilities or portions of existing licensed facilities shall meet licensure and code requirements in effect at the time of the construction, change in service or bed count, addition, renovation, or alteration ; however in no case shall the requirements for any licensed where no addition or renovation has been made, be less than those requirements found in the 1971 " Minimum and Desired Standards and Regulation" for Homes for the Aged and Infirmed.

- Exit signage has been installed on the landscape painted exit door in the Special Care Unit.
- Brookdale Asset Management has been consulted regarding the most appropriate resolution to correct the lack of a manual override for the magnetic lock on the Special Care Unit entrance door. RFT will be contracted to install manual override for mag lock.

**Section .0300-Physical Plant 10A NCAC 13F .0306 Housekeeping and Furnishings**

(a) Adult Care Homes shall:

- (1) Have walls, ceilings, and floors or floor coverings kept clean and in good repair;
- (2) Have no chronic unpleasant odors;
- (3) Have furniture clean and in good repair.

- Housekeeping is now scheduled to complete HVAC vent cleaning on a monthly basis as part of routine preventative maintenance. This will include all laundry room dryer vents as well. Brookdale Asheboro currently has a contract with Duct Masters of Greensboro to clean dryer exhaust on a quarterly basis.
- New bathroom door has been installed in apartment #304.

**Section .0300-Physical Plant 10A NCAC 13F .0306 Housekeeping and Furnishings**

(a) Adult Care Homes shall:

(5) be maintained in an uncluttered, clean and orderly manner, free from all obstructions and hazards

- Helium tank in Special Care Unit Program Coordinator's Office has been secured to the office wall studs with chain.
- Any and all items have been removed from in front of the electrical panel in the office on the 200 hall. Signage has been placed on the front of all electrical panels that no storage is allowed .
- Air conditioning filters have been removed from the Mechanical Room Attic and signage has been posted that no storage is allowed in the attic.

- **Section .0300-Physical Plant 10A NCAC 13F .0306 Housekeeping and Furnishings (con't)**
- Storage closet in Special Care unit has been reorganized to allow for easy egress through the vestibule to the courtyard.
- In order to allow for proper egress Medication Carts will no longer be stored in the hallway at the Main Dining Room entrance.

**Section .0300-Physical Plant 10A NCAC 13F .0311 Other Requirements**

- **(a) The building and all fire, safety, electrical, mechanical and plumbing equipment in an adult care home shall be maintained in a safe and operating condition.**
- **(k) This rule shall apply to new and existing facilities.**
- All holes in Mechanical room have been repaired. Visual inspection of walls will be completed by the Maintenance Technician during monthly Preventative Maintenance reviews.
- Cross Corridor Door, Laundry Room Door and Room #206 have all been adjusted for proper closing and latching. Kitchen door no longer has any unapproved device by which to keep it held open.
- Batteries replaced in the Exit signs in the Dining Room / Special Care Unit entrance.
- Exposed wires at the electrical motor and the junction box in the Mechanical room have been properly secured.

**Section .0300-Physical Plant 10A 13F .0311 Other Requirements**

- **(g) The spaces listed in this Paragraph shall be provided with exhaust ventilation at the rate of two cubic feet per minute per square foot. This requirement does not apply to facilities licensed before April 1, 1984 with natural ventilation in these specified spaces:**
- **(1) soiled linen storage;**
- **(2) soiled utility room;**
- **(3) bathroom and toilet rooms;**
- **(4) housekeeping closets; and**
- **(5) laundry area.**
- **(k) This rule shall apply to new and existing buildings.**
- Brookdale Asset Management has been consulted on the most appropriate resolution to address the lack of exhaust fans in the laundry rooms in Assisted Living and the Special Care Unit. Ventilation work will be completed-Exhaust fans.