

**CHILD AND FAMILY SERVICES REVIEWS
ONSITE REVIEW INSTRUMENT INSTRUCTIONS
Face Sheet**

A. County name	B. Case name	C. Period under review
D. Reviewers: State: _____ County: _____		E. Date case reviewed
F. Child(ren)'s name(s) (For foster care cases, place an asterisk next to the child whose case is under review) First Name Last Name	G. Child(ren)'s race and ethnicity	H. Child(ren)'s date(s) of birth (MM/DD/YY)
I. Type of case reviewed <input type="checkbox"/> Foster Care (Child was in foster care for all or some portion of the period under review) <input type="checkbox"/> Child Protective Services (No child in the family was in foster care for any portion of the period under review)		
J. Date of most recent case opening (MM/DD/YY)	K. Date of most recent removal from home (foster care cases only) (MM/DD/YY)	
L. Date child returned home from most recent foster care episode (foster care cases only) (if applicable) (MM/DD/YY)	M. Date case closed (if applicable) (MM/DD/YY)	
N. Indicate the cause of the agency's initial involvement with this child or family. Check all that apply and place an asterisk next to the primary reason.		
<input type="checkbox"/> Physical abuse	<input type="checkbox"/> Abandonment	<input type="checkbox"/> Substance abuse by child
<input type="checkbox"/> Sexual abuse	<input type="checkbox"/> Mental/physical health of parent	<input type="checkbox"/> Domestic violence in child's home
<input type="checkbox"/> Emotional maltreatment	<input type="checkbox"/> Mental/physical health of child	<input type="checkbox"/> Child in juvenile justice system
<input type="checkbox"/> Neglect (not including medical neglect)	<input type="checkbox"/> Substance abuse by parent(s)	<input type="checkbox"/> Other (specify)
<input type="checkbox"/> Medical neglect	<input type="checkbox"/> Child's behavior	
O. Persons interviewed:		
Name	Relationship to Case	Date of Interview
		<input type="checkbox"/> In-Person <input type="checkbox"/> Phone
		<input type="checkbox"/> In-Person <input type="checkbox"/> Phone
		<input type="checkbox"/> In-Person <input type="checkbox"/> Phone
		<input type="checkbox"/> In-Person <input type="checkbox"/> Phone
		<input type="checkbox"/> In-Person <input type="checkbox"/> Phone

SECTION I: SAFETY

Outcome S1: Children are, first and foremost, protected from abuse and neglect.

Item 1. Timeliness of Initiating Reports of Child Maltreatment (Case Record, Interview With Caseworker)

Core Questions	Over the Life of the Case?	During the Period Under Review?
A. How many reports of suspected abuse or neglect have been received on children in the family (including reports accepted or screened out by the State)? Reviewers should record the total number of reports of child maltreatment for all children in the family, not just the child in foster care who is documented through the case record under review.		
B. How many of the assigned reports were initiated by face to face contact with the child in accordance with the State's policy and requirements for a report of that priority?		

Exploratory Questions

- What was the priority level assigned to each report?
- When did the investigating worker or assessment worker initiate the assessment?

Rating for Item 1:		Strength		Area Needing Improvement		Not Applicable
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List below the reports of suspected abuse or neglect during the period under review and six months prior.

Report Date	IA	FA	Allegations	Priority Level	Date Assigned	Date Initiated by Face-to-Face Contact	Perpetrator	Date of Case Decision/Disposition
								/
								/
								/
								/
								/
								/
								/

Rating for Item 1:		Strength		Area Needing Improvement		Not Applicable
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(Continued)

Instructions for Item 1: Timeliness of Initiating Reports of Child Maltreatment

This item focuses on the timeliness of initiating Family/Investigative Assessments of all reports of child maltreatment.

Reviewers should focus on all children in the family.

Reviewers should note the following definitions when completing this item:

- “Life of the case” refers to the entire time the case was known to the agency.
- Initiated by “Face-to-face contact” refers to in-person contact with all of the children in the family. If different dates are recorded for different children, reviewers should explain the reason why in the documentation section.
- “Date assigned” refers to the date the report is assigned for assessment.

Reviewers should determine whether investigations or family assessments noted in each of the reports were initiated within the timeframe specified in the State’s policy for a report of that particular type or priority.

Reviewers also should examine whether the caseworker actually saw the child(ren) as a part of the investigative or family assessment.

Reviewers should rate this item based on the period under review, not the case history.

Reviewers should rate this item as **not applicable** if there were no reports of child maltreatment initiated during the period under review. For example, a case might have a long Child Protective Services (CPS) history but no reports during the period under review. In that circumstance, reviewers should document the case history information as background in the space provided below (noted as “life of the case”), but rate the case not applicable in relation only to the period under review.

Provide documentation that supports the rating for item 1

This item is rated as a(n) _____ because: _____

Outcome S1: Children are, first and foremost, protected from abuse and neglect.

Item 2. Repeat Maltreatment (Case Record, Interview With Caseworker)

Core Questions		Yes	No	Not Applicable
A.	During the period under review, was there at least one substantiated or services needed report of maltreatment on any child in the family?			
B.	If the response to question A is yes, within a 6-month period before or after the report identified in question A, was there at least one additional substantiated or services needed report of maltreatment on any child in the family?			

Exploratory Questions

- What was the nature of each report?
- When were the reports received?

Rating for Item 2:	Strength	Area Needing Improvement	Not Applicable

Instructions for Item 2: Repeat Maltreatment

This item focuses on substantiated/services needed reports of repeat maltreatment that lead to opening a case for services due to risk of harm to the child(ren). Using the information documented on the chart for item 1, reviewers should determine whether children in the family have had multiple substantiated or services needed reports of maltreatment.

Reviewers should focus on all children in the family.

Reviewers also should note the following definitions (which are the same as those used for the National Child Abuse and Neglect Data System [NCANDS]), when completing this item:

- "Substantiated" refers to an Investigative Assessment in which the allegation of maltreatment or risk of maltreatment was founded according to State law or State policy.
- "Services Needed" refers to all CPS reports of neglect (with the exception of abandonment and the special types of reports) assigned to the family assessment track and the safety issues and future risk of harm is so great that the agency must provide involuntary services.

Reviewers should respond to the Core Questions with regard to repeat maltreatment as defined below.

Repeat maltreatment refers to two or more substantiated or services needed reports of maltreatment within a 6-month period, with at least one of the reports occurring during the period under review;

Reviewers must distinguish between reports that occurred during the life of the case and the period under review.

Reviewers should rate the item as a **strength** if (1) there were no substantiated/services needed reports during the period under review and there was a prior substantiated/services needed report of child maltreatment, (2) there was a substantiated/services needed report during the period under review, but no other substantiated/services needed reports within 6 months before or subsequent to the report during the period under review. Reviewers should rate the item as an **area needing improvement** if 2 reports of maltreatment were substantiated or services needed within 6 months of each other, and one of the reports was in the review period.

Reviewers should rate this item as **not applicable** if over the life of the case there were no reports of maltreatment for any children in the family (for example, a Juvenile Justice case).

Provide documentation that supports the rating for item 2

This item is rated as a(n) _____ because: _____

DISCUSSION OF SAFETY OUTCOME #1

Outcome S1: Children are, first and foremost, protected from abuse and neglect.

Check the level of outcome achievement that best describes the extent to which this outcome is being or has been achieved, based on the case record reviews and interviews. In the box, provide documentation that supports the level of outcome achievement selected for each item.

Level of Outcome Achievement

- Substantially Achieved:** All applicable items are rated as strengths (disregard items rated as not applicable).
- Partially Achieved:** One of the applicable items is rated as an area needing improvement, and one is rated a strength (disregard items rated as not applicable).
- Not Achieved:** All applicable items are rated as areas needing improvement (disregard items rated as not applicable).
- Not Applicable:** Both of the items are rated as not applicable.

	Strength	Area Needing Improvement	NA
Item 1			
Item 2			

Outcome S2: Children are safely maintained in their homes whenever possible and appropriate.

Item 3. Services to Family To Protect Child(ren) in Home and Prevent Removal or Reentry into Foster Care(Case Record, Interview With Caseworker, Parent(s), Service Provider)

Core Questions	Yes	No	Not Applicable
A. For the period under review, when there were the following: (1) Substantiated or services needed reports of abuse or neglect <i>or</i> (2) Risks of harm to the child(ren) in the family, did the agency provide or arrange for services to the family to protect the child(ren) in his/her own home before removal, if applicable (including family preservation, family support, or other placement prevention services)?			
Did the agency provide or arrange for services to the family to protect the child(ren) in his/her own home before removal, if applicable (including family preservation, family support, or other placement prevention services)? Reviewers should check not applicable in the following situations: (a) the case is/was not a case of abuse or neglect; (b) there is/was no risk of harm to the child(ren); or (c) the child(ren) entered foster care before the period under review and there are no other children in the home. Reviewers should check no if services were not provided, even if there was an appropriate reason, and then note the reason why under question B. Reviewers must use their professional judgment to explore and document the appropriateness of services in relation to the child(ren)'s needs. It is not sufficient to simply list the services being provided.			

Exploratory Questions

- Were appropriate Intake decisions made based on the legal definitions of abuse, neglect, and dependency?
- Did the agency conduct a thorough Investigative/Family Assessment that addressed all allegations and maintained sufficient contact to assess risk and ensure the safety of the child(ren)?
- Were Investigative Assessments or Family Assessments closed appropriately?
- Were collaterals used appropriately?
- Were assessments completed as required in policy? Safety, Risk, and Family Strength and Needs Assessments support the decisions to substantiate or not to substantiate and decisions to provide services or close the case. (The assessment tools should support the findings of "services needed", "services recommended", or "services not recommended".
- What is/was the degree of risk of harm present to the child(ren) in the home?
- What types of services were provided or arranged to protect the child(ren)?
- Were In-Home services appropriate for the family?
- Why were services not provided?
- Why was the case open if it is not a case of substantiated abuse or neglect or identified as "services needed"?
- Was the Assessment assigned to the appropriate track?

Rating for Item 3:		Strength		Area Needing Improvement		Not Applicable
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Instructions for Item 3: Services to Family To Protect Child(ren) in Home and Prevent Removal

This item focuses on the services to the family to protect the child(ren) and prevent removal from the home. Reviewers should assess services provided to the family during the period under the review, including to parent(s) who reside outside the home and who have contact or are involved with the child(ren).

(Instructions continue on the next page)

Information related to this item is used to help identify whether reasonable efforts were made to prevent removal of the child(ren) from the home initially, and subsequent removals following the reunification of a child with the family.

Reviewers must determine whether the agency provided services to protect the child(ren) in the home as an alternative to a foster care placement, when appropriate. If some of the children from one family are/were in foster care and others are/were being served in the home, reviewers should provide an explanation of the services provided under both conditions.

Reviewers should rate the item as a **strength** if appropriate services were offered to prevent removal.

Reviewers should rate the item as an **area needing improvement** in the following situations:

1. When the case was opened for In-Home services, no services were provided, and the child was later removed on an emergency basis.
2. If the agency should or could have provided services to prevent removal of the child from the home.

Reviewers should rate the item as **not applicable** in the following situations:

1. If a case is/was open for services for a reason other than a substantiated or services needed report of abuse or neglect or apparent risk of harm to the child, for example, a juvenile justice case.
2. If there were no substantiated or services needed reports of abuse/neglect, or apparent risk of harm during the period under review.
3. If the child was in foster care during the entire period under review and there are no other children in the birth family home.
4. When it is/was not a case in which the child was maltreated or at risk of harm.

Provide documentation that supports the rating for item 3

This item is rated as a(n) _____ because: _____

Outcome S2: Children are safely maintained in their homes whenever possible and appropriate.			
Item 4: Risk assessment and safety management (case file and interviews with caseworker, parent(s), child, foster parent(s), service providers, guardians ad litem)			
Core Questions	Yes	No	Not Applicable
<p>A. For the period under review, was there a risk of harm to the child(ren) in the family that necessitated:</p> <p>(1) the provision of services to the family <i>or</i></p> <p>(2) placement of the child(ren) in foster care?</p> <p>Reviewers should check not applicable if the child(ren) and family are being served due to reasons other than risk of harm.</p> <p>Reviewers should focus on the existing risk of harm in the family of origin that brought the child into foster care and requires the child to remain outside the home. Reviewers should not, for example, state that there is/was no risk of harm simply because the child is/was in foster care.</p>			
<p>B. If the response to question A is yes, were efforts made by the agency to reduce or remove the risk of harm through specific interventions? Reviewers should check not applicable if there is/was no risk of harm to the child(ren).</p> <p>Reviewers should select their answer on the basis of whether the agency demonstrated efforts to remove the risk of harm to the child through specific interventions. For example, if an agency provided services for a period of time, stopped due to lack of progress, and then petitioned for termination of parental rights, reviewers would select yes as the response to this question.</p>			
<p>C. During the period under review, did the agency: (1) conduct initial and ongoing safety assessments of the target child in foster care and/or any child(ren) remaining in the home, and (2) continually monitor and update the safety plan, including encouraging family engagement in services designed to promote achievement of the goals of the safety plan.</p>			
<p>Exploratory Questions</p> <ul style="list-style-type: none"> • What is/was the nature of the risk of harm? • What is/was needed to reduce or remove the risk of harm? • How is/was the risk being addressed through services or other interventions? • What decisions have been made or what plans are under way regarding removal or reunification? Ex. CFT. • Are/were there any reports of maltreatment requiring a response by the agency during the period under review? • Was the case closed prematurely? Ex. Without permanence? Were safety and risk of harm issues resolved? 			
Rating for Item 4:		Strength	Area Needing Improvement
<p>This item focuses on whether the child(ren)'s safety is/was the primary concern in the case and examines how the agency is managing the risk of harm that necessitates continued out-of-home placement or services to an intact family.</p> <p>Reviewers should assess services provided to the family, including to parent(s) who reside outside the home and who have contact or are involved with the child(ren).</p> <p>In responding to questions C, reviewers should determine whether the agency conducted initial and ongoing safety assessments (formal or informal) during the period under review.</p> <p>If the agency did not assess the child(ren)'s safety on an ongoing basis (for example, when there were new allegations of abuse or neglect, changing family conditions, changes to visitation, upon reunification, or at case closure, etc.) then the answer to question C should be No unless the reviewer determines that during the period under review there were no apparent safety concerns for any child(ren) in the family remaining in the home.</p>			

If the case was closed during the period under review, reviewers should determine whether a safety assessment was conducted before closing the case.

Reviewers should determine whether, during the period under review, there was risk of harm to the child(ren) in the family that warranted the child(ren)'s placement in foster care, or continued placement in foster care if placement occurred before the period under review (foster care cases). This includes an ongoing risk that precludes reunification. For children receiving In-Home services, reviewers should determine whether the risk of harm to the child(ren) in the home is/was sufficient to necessitate the provision of services by the agency to protect the child(ren). Reviewers should note that this item is applicable to both a child(ren) receiving In-Home services when a decision was made not to remove the child(ren) from their home and to a child(ren) who was removed.

When risk of harm to the child(ren) is/was present, in either instance, reviewers must determine whether the agency provided or arranged for services that targeted the identified risks with the goal of reducing them.

Reviewers should document concerns about the agency screening out reports of maltreatment or not taking formal reports on cases already opened for services.

Reviewers also should document whether the agency closed the case prematurely.

For a child(ren) placed in foster care, including a child(ren) for whom his/her parent's rights have been terminated, reviewers should assess the child(ren)'s risk: (1) of being abused or neglected in foster care; (2) of being harmed while visiting with family members; and (3) of being reunified with a family member while safety concerns exist.

Reviewers should rate this item as a **strength** if the agency terminated the child's parent's rights as a means of decreasing risk of harm for the child (for example, a termination of parental rights would prevent a child from being returned to a home in which the child would be at risk) **and** has taken action to minimize other risks to the child (for example, preventing contact with individuals who pose a risk to the child's safety).

Reviewers also should not rate this item as not applicable simply because the child's parent's rights have been terminated.

Provide documentation that supports the rating for item 4

This item is rated as a(n) _____ because: _____

DISCUSSION OF SAFETY OUTCOME #2

Outcome S2: Children are safely maintained in their homes whenever possible and appropriate.

Check the level of outcome achievement that best describes the extent to which this outcome is being or has been achieved, based on the case record reviews and interviews. In the box, provide documentation that supports the level of outcome achievement selected for each item.

Level of Outcome Achievement

- Substantially Achieved: All applicable items are rated as strengths (disregard items rated as not applicable).
- Partially Achieved: One of the applicable items is rated as an area needing improvement and one is rated a strength (disregard items rated as not applicable).
- Not Achieved: All applicable items are rated as areas needing improvement (disregard items rated as not applicable).
- Not Applicable: Both of the items are rated as not applicable.

	Strength	Area Needing Improvement	NA
Item 3			
Item 4			

SECTION II: PERMANENCY

Outcome P1: Children have permanency and stability in their living situations.

Item 5. Foster Care Re-entries (Case Record, Interviews With Caseworker, Parent(s))

Core Questions	Yes	No	Not Applicable
A. Did the child enter foster care at least once during the period under review?			
B. If the response to question A is yes, did any foster care entry for the child during the period under review occur within 12 months of the child being discharged from a prior entry? Reviewers should check not applicable if the child has entered foster care only one time during the life of the case or entered foster care before the period under review.			
C. Were any of the multiple entries identified in responding to question B due to the same reason? Reviewers should check not applicable if there were no foster care entries during the period under review. Reviewers should examine the reasons why a child had multiple entries. If the child re-entered care for the same reason each time (for example, abuse), then reviewers should check yes. If the child entered care for abuse and then re-entered care for delinquency, reviewers should check no.			

Exploratory Questions

- Why did the child enter foster care each time?
- What are/were the timeframes for the child's entries into foster care?

Rating for Item 5:		Strength		Area Needing Improvement		Not Applicable

Instructions for Item 5: Foster Care Re-entries

This item focuses on the child's re-entries into foster care.

This item applies only to children in foster care.

Reviewers should note the following definitions (which are the same as those used in the Adoption and Foster Care Analysis and Reporting System [AFCARS]) when responding to this item:

- "Entry into foster care" refers to a child's removal from his/her normal place of residence, by court order or a voluntary placement agreement, and placement in a substitute care setting, or the removal of custody from the parent or relative guardian, which permits the child to remain in a substitute care setting.
- "Multiple entries" refers to two or more admissions into the foster care system.

Reviewers also should note the following definition when responding to this item:

- "Discharge" refers to the point when the child is no longer in foster care under the care and responsibility or supervision of the agency. If the State agency retains supervision of a child and the child returns home on a trial basis, for an unspecified period of time, the child should be considered discharged from foster care after a 6-month period of time.

Reviewers are asked to determine: (1) whether a child had multiple entries into foster care, (2) whether those re-entries resulted from the same general reason or circumstance, (3) how many entries the child had in foster care during the period under review, and (4) whether any entries during the period under review occurred within a 12-month period of the child being discharged from another foster care entry.

Reviewers should examine the reasons why a child had multiple entries into foster care and what efforts were made to prevent the re-entry.

A return to a foster care placement after a brief trial visit home does not count as a re-entry unless the State has discharged the child from foster care. If the following variables apply, however, a case would be considered a re-entry into foster care: a child remains on a trial home visit beyond 6 months, there is no court order extending the visit beyond 6 months, and the child comes back into foster care.

Reviewers should rate this item as an **area needing improvement** if re-entries occurring within a 12-month period are/were due to the same general reasons or same perpetrators.

Reviewers should rate this item as **not applicable** if: (1) the child entered foster care before and remained in foster care during the period under review; (2) the child entered foster care before and exited foster care during the period under review, and there was not another entry into foster care during the period under review; or (3) if a re-entry was an isolated incident during which the agency did what was reasonable to manage the risk following reunification but the child re-entered care for another reason (for example, the death of a parent).

Provide documentation that supports the rating for item 5

This item is rated as a(n) _____ because: _____

Outcome P1: Children have permanency and stability in their living situations.

Item 6. Stability of Foster Care Placement (Case Record, Interviews With Caseworker, Foster Parent(s))

Purpose of Assessment: To determine if the child in foster care is in a stable placement at the time of the onsite review and that any changes in placement that occurred during the period under review were in the best interest of the child and consistent with achieving the child’s permanency goal(s).

Applicable Cases: All foster care cases are applicable for an assessment of this item.

	Number
A. How many placement settings did the child experience during the period under review?	

Definitions:

- “Placement setting” refers to a physical setting in which a child resides while in foster care under the care and placement of the agency. A new placement setting would result, for example, when a child moves from one foster family home to another or to a group home or institution. Placement settings may include shelter care, treatment facilities, and juvenile justice placements. If, however, a foster family with whom a child is placed moves and the child moves with them, this does not constitute a change in placement.
- “Entry into foster care” refers to a child’s removal from his or her normal place of residence and placement in a substitute care setting under the care and placement responsibility of the State or local title IV-E/IV-B agency. Children are considered to have entered foster care if the child has been in substitute care for 24 hours or more.
- “Current episode of foster care” refers to a child’s current stay in foster care based on the most recent removal of the child from his or her normal place of residence, resulting in his or her placement in a foster care setting and ending upon the child’s discharge from foster care.

Instructions:

- If there were multiple episodes of foster care during the period under review, add up the placement settings within each episode. If there is a re-entry into foster care and the child is placed in a different placement setting at the time of re-entry, then it would count as a new placement setting. If the child returns to the placement setting that he or she was in before the return home, then it would not count as a new placement setting.
- Reviewers should not consider the following as placement settings: (1) a trial home visit; (2) a runaway episode; (3) temporary absences from the child’s ongoing foster care placement, including visitation with a sibling, relative, or other caretaker (for example, pre-placement visits with a subsequent foster care provider or pre-adoptive parents); (4) hospitalization for medical treatment, acute psychiatric episodes, or diagnosis; (5) respite care; and (6) day or summer camps.
- Complete the table below. Begin with the child’s placement setting at the onset of the period under review, or if the child entered foster care during the period under review, begin with the first placement setting at entry into foster care.

Placement Date	Placement Type	Reason for Change in Placement Setting

	Yes	No	NA
B. If the response to question A is greater than one (1), were all placement changes during the period under review planned by the agency in an effort to achieve the child's case goals or to meet the needs of the child?			

Definitions:

- Placement changes that reflect agency efforts to achieve case goals include moves from a foster home to an adoptive home, moves from a more restrictive to a less restrictive placement, moves from non-relative foster care to relative foster care, moves that bring the child closer to family or community, etc.
- Placement changes that do not reflect agency efforts to achieve case goals include moves due to unexpected and undesired placement disruptions; moves due to placing the child in an inappropriate placement (that is, one that was based on availability rather than on appropriateness); moves to more restrictive placements when this is not essential to achieving a child's permanency goal; temporary placements while awaiting a more appropriate placement; and practices of routinely placing children in a particular placement type, such as shelter care, upon initial entry into foster care regardless of individual needs.

Instructions:

- If the response to question A is one (1), then the response to question B should be Not Applicable (NA). If the single placement is not stable, that information will be collected in question C.
- If ALL placement changes during the period under review reflect planned agency efforts to achieve the child's case goals or meet the needs of the child, then the answer to question B should be Yes.
- If any single placement change that occurred during the period under review was for a reason other than agency efforts to achieve case goals or to meet the child's needs, the answer to question B should be No.
- Placement changes that occur as a result of unexpected circumstances that are out of the control of the agency (such as the death of a foster parent or foster parents moving to another State) can be considered similar to those that reflect agency efforts to achieve case goals for purposes of question B.

	Yes	No
C. Is the child's current placement setting stable?		

Instructions:

If any of the following apply to the child's current placement, the answer to question C should be No (select all that apply). If none of the following applies, then the answer to question C should be Yes.

- The child's current placement is in a temporary shelter or other temporary setting.
- There is information indicating that the child's current substitute care provider may not be able to continue to care for the child.
- There are problems in the current placement that threaten the stability of the placement but that the agency is not addressing.
- The child has run away from this placement more than once in the past, or is in runaway status at the time of the review.
- Other (describe):

Provide documentation that supports the rating for item 6

This item is rated as a(n) _____ because:

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Outcome P1: Children have permanency and stability in their living situations.				
Item 7. Permanency Goal for Child (Case Record, Interview With Caseworker)				
Core Questions			Permanency Goal	
A. What is the child’s current permanency goal (or if the case is closed, what was the most recent permanency goal before the case was closed)?				
			Yes	No
A1. If the goal is “another permanent planned living arrangement,” (APPLA) have other more permanent goals been considered and ruled out?				Not Applicable
Reviewers should explore and document below the reasons why other permanency goals were not considered and/or were ruled out. _____				
			Yes	No
B. Is the permanency goal (or the one that was in effect before the case was closed) appropriately matched to the child’s individual needs for permanency and stability?				
C. Indicate below how many prior permanency goals the child has had and for what lengths of time.				
Permanency Goal	Date Established	Date Goal Changed	Reason for Goal Change	
			Requested/Filed	Granted
Relief from reunification efforts				
TPR				
			Yes	No
			Not Applicable	Exception Noted (Specify)
D. If the child has been in foster care 12 of the most recent 22 months (or was before the case was closed) or meets other Adoption and Safe Families Act (ASFA) criteria for termination of parental rights (TPR), has the agency filed or joined a petition to terminate parental rights? Reviewers should check not applicable if the child has been/was in foster care less than 12 of the most recent 22 months. (It is emphasized that N.C.G.S. 7B-907 requires a petition for TPR to be filed after the child has been in DSS custody or placement authority for 12 of the most recent 22 months, or the court order must contain one of the three acceptable exceptions to TPR.)				
<p>Reviewers will need to determine whether a child: (1) has been in foster care for 12 of the past 22 months, (2) is an abandoned child, or (3) is a child whose parents have been convicted of one of the felonies designated in Section 475 (5)(E) of the Social Security Act. (This would include if the parent had (1) committed murder of another child of the parent; (2) committed voluntary manslaughter of another child of the parent; (3) aided or abetted, attempted, conspired, or solicited to commit such a murder or such a voluntary manslaughter; or (4) committed a felony assault that results in serious bodily injury to the child or another child of the parent.) Reviewers then make a determination about whether a TPR petition or an exception to the TPR requirements is/was required.</p> <p>Reviewers must be familiar with the ASFA TPR requirements and exceptions. Reviewers should document if the child has been in care for the maximum time or if another ASFA criterion for TPR exists. In other words, either a TPR must be filed or an exception noted in the court order.</p>				

Exceptions include the following: (1) the child is being cared for by a relative, and the permanent plan for the child is custody or guardianship with that relative, or (2) the court order reflects a compelling reason for determining that a TPR would not be in the best interests of the child, (e.g. it is not in the best interest of the child to file a petition for TPR because), or (3) the State agency has not provided to the family, services consistent with the time period in the case plan, that the State deemed necessary for the safe return of the child to the child's home, when reasonable efforts to reunify the family are required.

Exceptions to TPR must be contained in court orders.

Exploratory Questions

- What is/was the history of the permanency goals?
- Are/were there notable changes or lack of changes in the child's permanency goals?
- What are/were the reasons for changes in the child's permanency goals?
- What factors did the agency consider when making decisions about the child's permanency goals?
- Has the child been in foster care for 12 of the most recent 22 months, is the child an abandoned infant, or does the child have parents who have committed a felony requiring TPR under ASFA?
- Has/was an exception to the TPR requirement been made and, if so, what was the basis for the exception (for example, the child is being cared for by a relative or the State has not provided services that the State deemed necessary for the safe return of the child to the child's home)?

Rating for Item 7:		Strength		Area Needing Improvement		Not Applicable
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Instructions for Item 7: Permanency Goal for Child

This item focuses on the process of establishing the most appropriate permanency goal for the child.

This item applies only to children in foster care.

Reviewers should rate this item for the goal(s) in place during the period under review.

If a previous goal was in place and unachieved for a considerable length of time before the most recent change, this should be documented below and taken into consideration when rating the item (for example, 3 months before the onsite review, the goal was changed to adoption; however a goal of reunification was in place and unachieved for 5 years).

Reviewers should examine the appropriateness of a goal that ultimately rules out adoption, guardianship, or return to family. Reviewers must assess whether the child's best interests were thoroughly considered by the State agency in setting a goal of other planned living arrangement, and that such a decision is/was continually reviewed for ongoing appropriateness.

If the State agency is using concurrent planning for the child, the permanency goals recorded for this item should reflect the child's primary permanency goal outlined in the case plan.

For purposes of determining if a child was in foster care 12 of the most recent 22 months, reviewers should consider the date the child entered foster care as the earlier of the following: (1) the date of a judicial finding (In NC, this is the date of the non-secure order or VPA) of abuse or neglect *or* (2) 60 calendar days after the child's removal from the home. Reviewers should calculate time cumulatively over a 22-month period and should not include trial home visits or runaway episodes.

Due to changes in circumstances, a caseworker may have identified and provided services in support of a new goal for the child, which is/was not approved by or changed with the court. In this case, reviewers should rate the item based on the goal identified by the agency even if it is/was not the official goal of record with the court.

Provide documentation that supports the rating for item 7

This item is rated as a(n) _____ because:

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Outcome P1: Children have permanency and stability in their living situations.

Item 8. Reunification, Guardianship, or Custody With Relatives – Complete only for children with a current or most recent permanency goal of reunification, guardianship, or permanent placement (custody) with relatives (Case Record, Interviews With Caseworker, Child, Foster Parent(s))

Core Questions	Reunification	Guardianship	Custody	
A. What is/was the child’s most recent permanency goal?				
			Yes	No
B. Has/was the child been in foster care for at least 12 months since his/her most recent entry into foster care?				
C. Has the child’s permanency goal been achieved? Reviewers need to identify whether the most recent permanency goal was achieved. For example, if the goal is reunification and steps have been taken toward that goal but the child remains in foster care, then the goal has not been achieved.				
C1. If the response to question C is yes, was the goal achieved within 12 months of the child’s most recent entry into foster care?				
C2. If the response to question C is no, document the barriers and what steps the agency is taking to achieve the goal. Since this item measures achievement of the permanency goal within 12 months of the child’s most recent entry into foster care, reviewers should consider barriers outside the period under review if the child was already in foster care at the onset of the period under review. _____				
C3. If the response to question C is no, what steps is the agency taking to achieve the goal? _____				

Exploratory Questions

- What length of time has/was the child been in foster care since the most recent entry into foster care?
- To what extent has the child’s permanency goal been achieved?
- What was the length of time to achieve the child’s permanency goal?
- What factors positively affected or delayed goal achievement?
- What are/were the agency’s efforts to support the child’s permanency goal achievement?

Rating for Item 8:		Strength		Area Needing Improvement		Not Applicable
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Instructions for Item 8: Reunification, Guardianship, or Custody With Relatives

This item focuses on the achievement of a child’s goal of reunification, guardianship, or custody with relatives including custody to a non-removal parent. This item applies only to children in foster care.

Reviewers should review for and document the reasons for any delays in the child’s achievement of the permanency goal.

 If the response to question B is yes and the goal is not yet achieved, reviewers must provide substantial justification to rate the item as a **strength**.

Provide documentation that supports the rating for item 8

This item is rated as a(n) _____ because: _____

Outcome P1: Children have permanency and stability in their living situations.

Item 9. Adoption – Complete only for children with a current or most recent permanency goal of adoption (Case Record, Interviews With Caseworker)			
Core Questions	Yes	No	Not Applicable
<p>A. Was the child’s adoption finalized within 24 months of the most recent entry into foster care? Reviewers need to identify whether the most recent permanency goal has been achieved. If steps have been taken toward the goal of adoption (for example, termination of parental rights, child placement in a pre-adoptive home) but the adoption is not finalized, then the goal has not been achieved. Reviewers should check not applicable if the child has not been in foster care for 24 months and has not been adopted.</p>			
<p>A1. If the response to question A is no, what were the barriers to the child’s adoption being finalized within 24 months of his/her most recent entry into foster care?</p> <p>Since this item measures achievement of the permanency goal within 24 months of the child’s most recent entry into foster care, reviewers should consider barriers outside the period under review if the child was already in foster care at the onset of the period under review.</p> <p>_____</p>			
<p>B. If the child has been in foster care less than 24 months since the most recent entry into foster care, are steps in place to finalize the adoption within the 24-month timeframe?</p>			
<p>B1. If the response to question B is yes, describe the steps.</p> <p>_____</p>			
<p>B2. If the response to question B is no, what are the barriers to finalizing the adoption?</p> <p>_____</p>			
<p>Exploratory Questions</p> <ul style="list-style-type: none"> • What length of time has/was the child been in foster care since the most recent entry into foster care? • What is/was the status of planning/efforts to finalize the child’s adoption? • Is the child legally free for adoption? • What are/were the efforts to identify an adoptive family or to legally free the child for adoption? • What are/were the barriers to placing the child for adoption? • What are/were the barriers to freeing the child for adoption? • Was the child listed on the Adoption Resource Exchange within 30 days of being legally free for adoption, unless a permanent family has been identified? 			
Rating for Item 9:		Strength	Area Needing Improvement
<p>This item focuses on the achievement of a child’s goal of adoption. This item applies only to children in foster care.</p> <p>Achievement of an adoption goal means finalization of the adoption. Reviewers should document the reasons for delays in the adoption process and the agency’s efforts to address the delays.</p> <p>If the response to question A is no, reviewers must provide substantial documentation of agency efforts to finalize the adoption within 24 months in order to rate this item a strength.</p>			
<p>Provide documentation that supports the rating for item 9</p> <p>This item is rated as a(n) _____ because: _____</p>			
<p>Outcome P1: Children have permanency and stability in their living situations.</p>			

Item 10. Permanency Goal of Another Planned Permanent Living Arrangement – Complete only for children with a current or most recent permanency goal of a planned permanent living arrangement of custody or guardianship to a court approved caretaker (Case Record, Interviews With Caseworker, Foster Parent(s), Child, and Parent(s))			
Core Questions	Custody to a court approved caretaker	Guardianship to a court approved caretaker	
A. What is the child’s permanency goal?			
		Yes	No
B. Has/was the goal been achieved? Reviewers need to identify whether the most recent permanency goal has been achieved. For example, if the goal is custody to a court approved caretaker and steps have been taken toward the goal (for example, identification of a potential permanent caretaker) but the custody has not yet been given to that person, and the child remains in foster care, then the goal has not been achieved.			
B1. If the response to question B is no, what are the barriers to achieving the goal? Reviewers need to identify whether the goal has been addressed appropriately and if not, document the reasons. _____ _____ _____ _____ _____			
		Yes	No
C. Are/were services being provided to help the child achieve the goal of another permanent planned living arrangement (including independent living services, if appropriate)?			
Exploratory Questions			
<ul style="list-style-type: none"> • What factors were considered in determining the goal? • What were the reasons this goal was selected rather than reunification, guardianship or permanent placement (custody) with a relative, or adoption? How does/did the agency review the goal for continued appropriateness since the goal was initially established? • What factors are/were affecting or delaying goal achievement? • What are/were the agency’s efforts to achieve the permanency goal? 			
Rating for Item 10:		Strength	Area Needing Improvement
Instructions for Item 10: Permanency Goal of Another Planned Permanent Living Arrangement			
This item focuses on the achievement of a child’s permanency goal of “another planned living arrangement” and includes youth for whom independent living services are appropriate.			
This item should be completed only for children in foster care with a permanency goal of a planned living arrangement other than adoption, guardianship, or return to family, i.e. custody or guardianship to a court approved caretaker who is not a relative.			

This item applies only to children in foster care.

This item is used, in part, to determine whether the State agency is in substantial conformity with Adoption and Safe Families Act

(ASFA) requirements that services be provided to assist children to attain permanency in their living arrangements. Reviewers should review for and note any delays in the child's achievement of the permanency goal and the reasons for those delays. If the child does not have a permanency goal of other planned living arrangement, this item should be rated as not applicable.

Provide documentation that supports the rating for item 10

This item is rated as a(n) _____ because: _____

DISCUSSION OF PERMANENCY OUTCOME #1

Outcome P1: Children have permanency and stability in their living situations.

Check the level of outcome achievement that best describes the extent to which this outcome is being or has been achieved, based on the case record reviews and interviews. In the box, provide documentation that supports the level of outcome achievement selected for each item.

Level of Outcome Achievement

- Substantially Achieved: Item 7 and the relevant item (8, 9, or 10) rated for this case must be rated as strengths, and no more than one of either items 5 and 6 (if applicable) may be rated as an area needing improvement (disregard items rated as not applicable). **If the State is using concurrent planning for the case being reviewed and, therefore, the reviewer rated two of the relevant items (8 and 9, 8 and 10, or 9 and 10), then both must be rated as strengths.**
- Partially Achieved: Item 7 and the relevant item (8, 9, or 10) are rated as strengths, but both items 5 and 6 (if applicable) are rated as areas needing improvement, *or* either item 7 or the relevant item (8, 9, or 10) is rated as an area needing improvement (disregard items rated as not applicable).
- Not Achieved: All of the applicable items are rated as areas needing improvement (disregard items rated as not applicable).
- Not Applicable: All of the items are rated as not applicable.

	Strength	Area Needing Improvement	NA
Item 5			
Item 6			
Item 7			
Item 8			
Item 9			
Item 10			

Outcome P2: The continuity of family relationships and connections is preserved for children.

Item 11. Proximity of Foster Care Placement (Case Records, Interviews With Caseworker, Parent(s))

Core Questions	Same Community	Same County	Out of County	Out of State	Not Applicable	
<p>A. What is/was the proximity of the child’s current or most recent placement to their parents? Reviewers should check not applicable when (1) the parents’ whereabouts are unknown despite agency efforts to locate them, (2) the parents are deceased, or (3) parental rights are terminated with no planned involvement of the parents in case planning or case goals. The response to question A is based on proximity to the birth parents.</p>						
				Yes	No	Not Applicable
<p>B. For children placed outside of the community, county, or State of their parents’ residence, is/was the reason for the location of the placement clearly related to helping the child achieve his/her case plan goals?</p> <p>Reviewers should check not applicable if the child is not placed outside the community, county, or State of their parents’ residence. Reviewers should consider all placement settings during the period under review. Reviewers should check no if a placement outside the community is/was made because there were no existing placement resources in the community. However, reviewers may check yes if the placement is/was made outside the community in order to provide a temporary specialized service/environment for the child where it is unreasonable to expect that such specialized services would be offered in the child’s community. If a child is in an adoptive or pre-adoptive placement outside of the community or county of his/her parent’s residence and the location of the placement relates to achievement of the adoption goal, then reviewers should check yes.</p>						

Exploratory Questions

- What is/was the location of the child’s placement?
- Which parent is/was working with the agency and most likely to be reunified with child?
- What are/were the reasons for the child’s placement settings?
- How does/did the placement location support or inhibit achieving the child’s case plan goals?
- What is/was the impact of placement location on maintaining important family and community connections?

Rating for Item 11:		Strength		Area Needing Improvement		Not Applicable
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Instructions for Item 11: Proximity of Foster Care Placement

This item focuses on the proximity of a child’s placement to the community in which the child’s parent(s) reside.

(Instructions continue on the next page)

This item pertains to all children in foster care, including those whose parents' rights have been terminated and children who are in adoptive placements.

Reviewers should respond to question A in this item using the child's current placement setting or the most recent foster care placement if the child has been discharged from foster care, and respond to question B based on all placement settings during the period under review.

If the child's parents are/were living separately, reviewers must determine which parent is/was the most involved in case planning and is/was most likely to be reunified with the child and then base decisions on the location of that parent's residence.

Reviewers will need to determine whether the child is/was placed in the same community or outside the community in which the primary parent (as determined above) resides. In making this determination, reviewers should consider the following: (1) identifiable neighborhoods, (2) school districts, (3) the actual distance between the parent's home and the placement, and (4) the general accessibility of the child in placement to family and other social institutions familiar to the child.

Reviewers should document when a child is placed outside the community for specific purposes, such as meeting the individualized needs of the child or keeping the child in closer contact with the family than a same-community placement would allow.

Reviewers should rate this item as **not applicable** when (1) the parents' whereabouts are unknown despite agency efforts to locate them, (2) the parents are deceased, **or** (3) parental rights are terminated with no planned involvement of the parents in case planning or case goals.

Provide documentation that supports the rating for item 11

This item is rated as a(n) _____ because: _____

Outcome P2: The continuity of family relationships and connections is preserved for children.				
Item 12. Placement With Siblings (Case Records and Interviews With Caseworker, Parent(s), Foster Parents)				
Core Questions	Placed With All Siblings Who Are in Foster Care	Placed With One or More Siblings Who Are in Foster Care	Placed Apart From All Siblings Who Are in Foster Care	Not Applicable
A. Is/was the child placed with siblings who also are/were in foster care? Reviewers should check not applicable if there are/were no siblings in foster care.				
	Yes	No	Not Applicable	
B. If the child is/was not placed with all of his/her siblings who are/were in foster care, is there clear evidence that separation is/was necessary to meet the needs of the children? Reviewers should check not applicable if there are no siblings in foster care.				
Exploratory Questions				
<ul style="list-style-type: none"> • What efforts did the agency make to place siblings together? • What are/were the reasons siblings are/were not placed together, if applicable? • What is/was the history of siblings' placement together, including reasons for separations? 				
Rating for Item 12:		Strength		Area Needing Improvement
				Not Applicable
Instructions for Item 12: Placement With Siblings				
<p>This item focuses on a child's placement in foster care with sibling(s) who also are placed in foster care. This item applies only to children in foster care. This item attempts to distinguish the extent to which siblings are separated because of factors such as the availability of resources or disrupted placements rather than circumstances in which siblings' individual needs can be met only in separate placements.</p> <p>Reviewers should look at siblings who also are in foster care and with whom the child lived prior to foster care placement or with whom the child would be expected to live if the child was not in foster care. Reviewers should rate this item for the period under review.</p> <p>Reviewers may rate this item as a strength when siblings are not placed together to ensure the best interests of the child(ren). Examples include (1) when there is/was a large sibling group divided between two different placements and they maintain close, regular contact; (2) when one child is/was in an alternative placement to receive needed therapeutic services, with the plan to return that child to the placement with siblings; or (3) when one sibling is/was perpetrating abuse on another.</p> <p>Reviewers should rate this item as an area needing improvement if siblings are/were placed separately due to the lack of placement resources.</p> <p>If a brief separation is/was necessary to meet the needs of one sibling, reviewers should consider the efforts of the agency to reunite the siblings as appropriate.</p> <p>Reviewers should rate this item as not applicable if there are/were no siblings in foster care.</p>				
Provide documentation that supports the rating for item 12				
This item is rated as a(n) _____ because: _____				

Outcome P2: The continuity of family relationships and connections is preserved for children.

Item 13. Visiting With Parents and Siblings in Foster Care (Case Records, Interviews With Caseworker, Parent(s))

Core Questions		Weekly	Bi-weekly	Monthly	Less Than Monthly	No Visits	Not Applicable
A. What is/was the most typical pattern of visitation between the child and parents? Reviewers should check not applicable if contact with parents has been/was determined to be contrary to the child’s safety or best interests.	Mother:						
	Father:						
B. What is/was the most typical pattern of visitation between the child and siblings placed separately in foster care? Reviewers should check not applicable if no siblings are/were placed separately.							
					Yes	No	Not Applicable
C. Are/were there other forms of contact between the child and parents? Reviewers should check not applicable if contact is/was contrary to the child’s safety or best interests.							
D. Are/were there other forms of contact between the child and siblings? Reviewers should check not applicable if contact is/was contrary to the child’s safety or best interests.							
E. Is/was the frequency of and arrangements for visitation in accordance with court orders and visitation plans? Reviewers should check not applicable if contact is/was contrary to the child’s permanency goal.							
F. During the period under review, were concerted efforts made to ensure that the quality of visitation between the child, parents and his or her sibling(s) was sufficient to promote the continuity of their relationships?							

Exploratory Questions

- What are/were the reasons for restrictions or prohibitions on visits?
- What are/were the reasons for visiting less frequently than weekly?
- What are/were the agency services/supports to encourage more frequent visiting?

Rating for Item 13:		Strength		Area Needing Improvement		Not Applicable
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Instructions for Item 13: Visiting With Parents and Siblings in Foster Care

This item focuses on the child’s visitation with parents or siblings placed separately in foster care.

(Instructions continue on the next page)

Reviewers should rate this item for the period under review based on the individual **needs** of the child and family.

This item applies only to children in foster care.

Reviewers should determine the most typical visitation pattern in responding to questions in this item. Reviewers also should determine whether other forms of contact are/were occurring, such as by telephone or mail, and note that visitation patterns may vary over time.

When reviewers note that visits occur infrequently, they should use the Exploratory Questions to determine whether there are/were barriers to more frequent visiting.

For this item, it is important that reviewers explore the reasons for visitation restrictions.

Reviewers should explain the circumstances under which visiting is/was contrary to the child's safety or best interests: (1) visiting would present an unmanageable risk of harm to the child, (2) the child is to be adopted and continued contact with parents is impossible or would be harmful to the child, or (3) the parents' whereabouts are unknown despite diligent agency efforts to locate and engage the parents. If the parents' whereabouts are/were unknown, reviewers should document the agency's efforts to locate them.

Reviewers should note that visitation with parents may still be appropriate following a termination of parental rights (for example, in cases of an open adoption, or placement with relatives).

Reviewers should note that the intent of this item is to assess the child's visitation with his/her siblings who are/were placed separately while in foster care. **Visitation and contact with siblings not in foster care placement should be documented under Preserving Connections (item 14).**

If reviewers rate this item as **not applicable** because parental rights have been terminated, this information should be documented.

Provide documentation that supports the rating for item 13

This item is rated as a(n) _____ because: _____

Outcome P2: The continuity of family relationships and connections is preserved for children.

Item 14. Preserving Connections (Interviews With Caseworker, Parent(s), Foster Parent(s), Child)

Core Questions	Significantly	Partially	Not at All
A. Are/were the primary connections and characteristics of the child being preserved in the foster care placement? Reviewers need to make a professional judgment about the child’s primary connections and then explore whether those connections have been/were preserved through case planning and service delivery.			
	Yes	No	Not Applicable
B. Was a sufficient inquiry conducted with the parent, child, custodian, or other interested party to determine whether the child may be a member of, or eligible for membership in, an Indian tribe? If the child is Native American, are/were his/her interests being addressed through – Timely notification of the tribe? – Placement with the child’s extended family or tribe? Reviewers should check not applicable if the child is not a member of, or eligible for membership in, an Indian tribe in accordance with the Indian Child Welfare Act (ICWA).			

Exploratory Questions

- What are/were the primary connections of the child to his/her neighborhood, community, faith, family, and friends?
- What are/were the unique characteristics of the family and child, including language, religion, values and beliefs, traditions, and background?
- How are/were the primary connections addressed in the agency’s work with the family and child?
- How does/did the foster care provider support the child’s connections?
- Were there siblings not in foster care?
- Did the agency work with the tribe on an ongoing basis regarding ICWA issues if the child is a member of, or eligible for membership in, a tribe?

Rating for Item 14:		Strength		Area Needing Improvement		Not Applicable
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Instructions for Item 14: Preserving Connections

This item focuses on the preservation of the child’s primary connections, including their relationship with previous foster families, schools, friends, communities, tribes/tribal customs, and religion/religious observances.

This item applies only to children in foster care.

Reviewers should note the following definitions when responding to this item:

- “Connections” refer to ties with family members and other related or nonrelated individuals with whom the child in foster care has/had a significant, positive relationship before entering foster care.
- “Characteristics” of the child refer to positive aspects of the values, beliefs, religion, language, traditions, and other factors that distinguish the identity of the child and the child’s family.

Reviewers should determine whether the child’s primary connections are/were preserved during the foster care placement(s) for the entire period under review. Reviewers should document the barriers to preserving connections for the child. For example, are the foster parents facilitating the child’s connection to a previously established religious affiliation?

If reviewers answer Core Question A as “partially,” they must document the agency’s efforts to preserve the child’s connections and identify and document the additional efforts needed.

Reviewers should note that ICWA requires that (1) in the absence of good cause, the State give placement preference to members of the Native American child’s extended family; and (2) the State provide Indian tribes with timely notice of a Native American child’s involvement in an involuntary court proceeding.

Provide documentation that supports the rating for item 14

This item is rated as a(n) _____ because: _____

Outcome P2: The continuity of family relationships and connections is preserved for children.

Item 15. Relative Placement (Case Record, Interviews With Caseworker, Child’s Caretaker, Parent(s))

Core Questions	Yes	No	NA
A. Is/was the child in foster care placed with relatives?			
B. For children not placed with relatives did the agency make concerted efforts to identify, locate, and evaluate maternal and paternal relatives as potential placements for the child, with the result that maternal and paternal relatives were ruled out as, or were unwilling to be, placement resources?			

Reviewers should check not applicable if relatives were unable to be identified despite the agency’s diligent efforts to do so, or in situations such as abandonment where the identity of the parents and relatives remains unknown despite efforts to identify them.

Reviewers must focus on the title IV-E provision that requires States to consider giving preference to placing the child with relatives, and determine whether the State considered such a placement and how (for example, seeking out and evaluating the child’s relatives). Relatives include non-custodial parents, such as fathers not in the home, if applicable to the case. Reviewers should check not applicable if the child is placed with relatives.

Reviewers must determine the extent to which the agency identified relatives who had some reasonable degree of relationship with the child and with whom the child might reside. There does not need to be in the case record a formal evaluation of relatives with whom the child might reside, but for reviewers to answer yes evidence must exist, through either the case documentation or the case interviews, that relatives were evaluated and considered.

Exploratory Questions

- Was the agency timely in identifying and evaluating relatives?
- Was the non-custodial parent considered as a placement resource before considering other relatives?
- Did relatives continue to be assessed as placement resources throughout the life of the case?
- What were the reasons relatives were not evaluated, if applicable?
- What were the reasons relatives were not used for placement, if applicable?

Rating for Item 15:	Strength	Area Needing Improvement	Not Applicable

This item focuses on placement of children with relatives, when possible. This item applies only to children in foster care.

Reviewers should answer yes to Core Question B if relatives were identified and evaluated before the period under review, for example when the child entered foster care, or during the period under review.

Reviewers should determine whether relatives were identified and considered as a placement resource for the child. They also should document the reason(s) for the child not being placed with a relative who was considered.

Reviewers may rate this item as a **strength** if (1) the agency assessed the child’s needs and determined that he/she required special services **and** (2) the agency assessed potential relative placements and determined that the relative placements did not have the capacity to meet the child’s needs.

Reviewers may rate this item as **not applicable**: (1) if the agency determined upon the child’s initial entry into care that his/her needs required residential treatment services and a relative placement would be inappropriate, **or** (2) if relatives were unable to be identified despite the agency’s diligent efforts to do so, **or** in situations such as abandonment in which the identity of the parents and relatives remains unknown despite efforts to identify them.

Provide documentation that supports the rating for item 15

This item is rated as a(n) _____ because: _____

Outcome P2: The continuity of family relationships and connections is preserved for children.

Item 16. Relationship of Child in Care With Parents (Interviews With Child, Parent(s), Foster Parent(s), Service Provider)

Core Questions		Yes	No	Not Applicable
<p>A. Where appropriate, has the agency made efforts to promote or maintain a strong, emotionally supportive relationship between the child in foster care and the child's parent(s)?</p> <p>Reviewers should check not applicable if such efforts are/were not appropriate based on the child's safety or best interests, for example, serious, indicated abuse.</p> <p>Reviewers should not assume, however, that an emotionally supportive relationship between the parent(s) and child is inappropriate simply because the child is in foster care and/or was maltreated by the parent(s).</p> <p>If reviewers check yes, they must document below the specific information showing how the State is/was supporting positive relationships between the child and parent(s).</p>	Mother:			
	Father:			
<p>B. Were visits and other contacts between the child and his/her parents planned and carried out in a manner that supports the parent/child relationship?</p>				
<p>C. If the response to question A or B is no, specify the reason(s):</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>				
<p>Exploratory Questions</p> <ul style="list-style-type: none"> • What is/was the nature of the child's relationship with his/her mother? • What is/was the nature of the child's relationship with his/her father? • What is/was the nature of the current relationship from the child's and parents' perspectives? • What are/were the factors affecting the child/parent relationship? • What are/were the agency's efforts to support parental participation in activities with the child, for example, school functions and special occasions? • What are/were the agency's efforts to support the parents' involvement in decisionmaking regarding the child's needs and activities? Eg. Shared Parenting, school conferences, medical appointments • What are/were the agency's efforts to support a positive relationship between the child and parents? • What is/was the quality and location of visits between the child and parents? 				
Rating for Item 16:		Strength		Area Needing Improvement
<p>This item focuses on the nature of the parent-child relationship during the period under review and requires that reviewers make professional qualitative judgments. Reviewers can best make those judgments by considering the following when evaluating the parent-child relationship: (1) the child's and parents' expressed feelings toward each other, (2) evidence of sustained attachment between the parents and child throughout the placement, (3) the level of ongoing involvement of the parent(s) in the child's life during the placement, (4) the level of support the parent(s) are/were able to give the child in care, and (5) the extent to which the integrity of the parent-child relationship is/was maintained. Reviewers should focus on how the agency is/was promoting parental involvement with the child in foster care (not just visitation). This might include, for example, facilitating parental involvement in medical or mental health appointments or other activities through which parents can enhance their ability to connect to and parent the child.</p>				

This item applies only to children in foster care.

Reviewers should consider what has occurred in the case during the period under review, while noting the circumstances during the life of a case.

If there is/was no evidence of a supportive parent-child relationship, reviewers should explore and note in the documentation section below the reasons, for example, "parents' whereabouts unknown."

Reviewers should note that a supportive parent-child relationship may still be appropriate following a termination of parental rights (for example, open adoption or placement with relatives).

Reviewers should rate this item as **not applicable** if a relationship with the child's parent(s) is contrary to the child's safety or best interests.

Provide documentation that supports the rating for item 16

This item is rated as a(n) _____ because: _____

DISCUSSION OF PERMANENCY OUTCOME #2

Outcome P2: The continuity of family relationships and connections is preserved for children.

Check the level of outcome achievement that best describes the extent to which this outcome is being or has been achieved, based on the case record reviews and interviews. In the box below, provide documentation that supports the level of outcome achievement selected for each item.

Level of Outcome Achievement

- Substantially Achieved: No more than one of the applicable items for this outcome is rated as an area needing improvement (disregard items rated as not applicable).
- Partially Achieved: Two or more (but not all) of the applicable items are rated as areas needing improvement (disregard items rated as not applicable).
- Not Achieved: All of the applicable items for this outcome are rated as areas needing improvement (disregard items rated as not applicable).
- Not Applicable: All of the items are rated as not applicable.

	Strength	Area Needing Improvement	NA
Item 11			
Item 12			
Item 13			
Item 14			
Item 15			
Item 16			

SECTION III: CHILD AND FAMILY WELL-BEING

Outcome WB1: Families have enhanced capacity to provide for their children’s needs.

Item 17. Needs and Services of Child, Parents, Foster Parents (Case Record, Interviews With Caseworker, Child, Parent(s), Foster Parent(s), Services Providers)

Core Questions	Yes	No	Not Applicable
<p>A. Assessment of Needs: Indicate in questions A1–A3 below whether the needs of the child(ren), parents, and foster parents, related to safety, permanency, and well-being, were adequately assessed and identified (provide details in the chart on the next page). Reviewers should view assessment as an ongoing process. It is reasonable to expect that an initial assessment occurred before the period under review, with ongoing assessments occurring throughout the period under review.</p>			
A1. The child(ren).			
<p>A2. The child(ren)’s parents. If the child is in a pre-adoptive home and termination of parental rights or relinquishment for adoption has been achieved, reviewers should consider the adoptive parents as the parents. If the child is placed with a relative or legal guardian with whom he/she will remain, the caretaker should be considered as the parent.</p> <p>Reviewers should check not applicable if service(s) to the parent(s) are/were contrary to the child’s safety or best interests (for example, if the parent(s) are no longer involved in case planning, when the parents’ rights have been terminated, and when the agency has made a decision not to work with the parents).</p>			
A3. The child’s foster parents. Reviewers should check not applicable if the child(ren) is/was not in foster care.			
<p>B. Provision of Services: Indicate in questions B1–B3 below whether the identified needs of the child(ren), parents, and foster parents were addressed through appropriate services, including community-based family support services, family preservation services, time-limited family reunification services, and adoption promotion and support services, as appropriate (provide details in the chart on the next page).</p>			
B1. The child(ren) (for example, independent living services for children in foster care who are 16 years and older).			
B2. The child(ren)’s parents. Reviewers should check not applicable if services to parents are/were contrary to the child(ren)’s safety or best interests (for example, if the parents are/were no longer involved in case planning, such as when the parents’ rights have been terminated and the agency has made a decision not to work with the parents). If the child is			
<i>(Continued)</i>			
	Yes	No	Not Applicable
in a pre-adoptive home, and termination of parental rights or relinquishment for adoption has been achieved, reviewers should consider the adoptive parents as the parents. If the child is placed with a relative or legal guardian with whom he/she will remain, the caretaker should be considered as the parent.			
B3. The child’s foster parents. Reviewers should check not applicable if the child(ren) is/was not in foster care. The needs of foster parents refer to what they need to provide appropriate care and supervision to the child in their home.			

Exploratory Questions

- What type of assessment process was used to identify needs (for example, a psychological evaluation and/or discussions with relevant parties)?
- How adequate was the assessment in covering all relevant areas and in identifying needs?
- What are/were the underlying needs associated with more obvious needs or presenting problems?
- What services have been/are being provided in relation to current needs?
- How appropriate are/were the services provided in relation to the identified needs?
- How accessible and available are/were services (for example, location, schedule, cost)?
- To what degree are/were the services provided meeting the identified needs?
- How accessible to foster parents is/was the caseworker?
- How appropriate is/was the child's placement setting (for example, family-like and suited to the child's needs)?
- What types of independent living and transitional living services are/were provided for children age 16 years and older?
- What ongoing efforts are being made to locate, assess, involve and provide services to an absent parent?

Rating for Item 17:

Strength

Area Needing Improvement

Not Applicable

Assessment of Needs/Provision of Services Chart

	Identified Needs	Services Provided	Services Needed/ Not Provided	Unidentified Needs
Child(ren):				
Mother:				
Father:				
Foster Parents:				

Instructions for Item 17: Needs and Services of Child, Parents, Foster Parents

This item focuses on the needs and services of the child, parents, and foster parents.

If the child is in foster care, item 17 applies to that specific child only. If the instrument is being completed for a family receiving In-Home services, then item 17 applies to all children in the family.

Reviewers should use the chart above as a work space for recording assessment of needs and provision of services.

Reviewers should examine whether the needs and services are/were addressed for some of the children in the family but not others and consider this information when rating this item. Reviewers should consider which members of the family are/were in the identified service unit and whether it is/was reasonable to expect that they receive services.

Reviewers should rate this item for the period under review, and should consider the initial assessments of needs that were conducted outside the period under review, and ongoing assessments during the period under review.

Reviewers must determine whether the agency identified the individual needs of the child(ren) and family in relation to the case goals or the agency's involvement with them.

Assessment of needs may take different forms (for example, a psychosocial evaluation conducted by another agency or by contract purchase). Reviewers also may find evidence during interviews with caseworkers or service providers that identifiable efforts were made to assess needs through the case planning process (using a process other than formal assessment) and the caseworker has an in-depth understanding of the needs of the child and family upon which to base the case plan.

Reviewers should document whether the services provided are/were appropriately matched to the identified needs. For example, if the agency identified the need to address parental substance abuse, reviewers should explore whether substance abuse assessment, prevention, education, or treatment services are/were provided. Reviewers also should document the reason why services were not provided when a need had been identified.

Reviewers should document in particular whether the following services are/were needed and provided: (1) community-based family support services, (2) family preservation services, (3) time-limited family reunification services, and (4) adoption promotion and support services.

Reviewers also should explore the accessibility and availability of services being provided (for example, location and schedule).

Reviewers should rate item 17 as a **strength** if (1) a needs assessment was conducted for the child(ren), parents, and foster parents, **and** (2) appropriate services were provided in relation to the identified needs of the target child in foster care cases, or for all children in In-Home cases. **Reviewers should note that education and physical or mental health services to the target child are not rated for this item (these are rated in items 21, 22, and 23). Reviewers should, however, document whether these services were provided to parents.**

Provide documentation that supports the rating for item 17

This item is rated as a(n) _____ because: _____

Outcome WB1: Families have enhanced capacity to provide for their children’s needs.			
Item 18. Child and Family Involvement in Case Planning (Interviews With Caseworker, Parent(s), Child, Service Providers)			
Core Questions	Yes	No	Not Applicable
<p>A. Indicate in questions A1–A3 below whether the agency actively involved the parent(s) or guardian and child(ren) in the case planning activities relevant to the current case plan. To determine the level of participation by parents and children, reviewers should identify the specific activities in which they have input or involvement. These might include, for example: (1) identifying strengths and needs, (2) requesting services and service providers, (3) establishing goals in case plans, (4) evaluating progress toward goals, and (5) attending case planning meetings.</p> <p>Reviewers also should identify barriers to child and parent participation in the case planning process.</p> <p>Reviewers should thoroughly explore specific case planning activities open to child and parent participation and not assume that a parent’s signature on a case plan signifies involvement. Moreover, reviewers should explore how the agency engaged the child and parent and in what specific activities.</p>			
<p>A1. Child(ren). Reviewers should check not applicable if the child(ren) is/was not old enough or is/was incapacitated. Reviewers will need to determine the ability of the child(ren) to participate actively in case planning activities. This capacity will vary among children; however, most school-age children can be expected to participate to some extent if they are verbal and understand most of the events occurring in their lives.</p>			
<p>A2. Mother. Reviewers should check not applicable if the mother’s involvement was determined to be contrary to the child(ren)’s safety or best interests, and document the reasons.</p> <p>Reviewers should check not applicable if the mother was absent, and the agency tried to locate her but was unable to do so, and document the agency’s efforts.</p> <p>Reviewers should check no if the mother is absent, and the agency did not try to locate her, and document the reasons why the State did not do so.</p> <p>Reviewers should consider pre-adoptive parents or permanent relatives or guardian caretakers as “parents.”</p>			
<p>A3. Father. Reviewers should check not applicable if the father’s involvement was determined to be contrary to the child(ren)’s safety or best interests, and document the reasons.</p> <p>Reviewers should check not applicable if the father was absent, and the agency tried to locate him but was unable to do so, and document the agency’s efforts.</p> <p>Reviewers should check no if the father is absent, and the agency did not try to locate him, and document the reasons why the State did not do so.</p> <p>Reviewers should consider pre-adoptive parents or permanent relative or guardian caretakers as “parents.”</p>			
<i>(Continued)</i>			

	Yes	No	Not Applicable
B. Was the input of the family and child(ren) actively considered in the development of the case plan through a CFT as required by policy?			

C. Are/were procedural safeguards in place with respect to parental rights pertaining to the removal of children from home, changes in placements, and visiting privileges? Reviewers should check not applicable if the child is/was not placed in foster care.

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Exploratory Questions

- Is/was a current case plan on file for the family/child?
- Was the input of the family/child considered and addressed in case plans?
- What is/was the family/child involvement in identifying needs and services, establishing goals, and evaluating progress?
- What are/were the reasons for their noninvolvement, if applicable?
- What efforts were made to locate, and then involve, absent parents, if applicable?
- Are/were parents notified when a child is moved or changes are made in visiting plans or case plans?
- If the initial case plan was developed before the period under review, reviewers should focus on the child’s involvement during the period under review in the ongoing case planning process, particularly with regard to evaluating progress and making changes in the type and level of services needed.
- Is the agency having CFT meetings as required by policy in regard to attendants, location and duration?
- Did cognitively and emotionally able children participate according to their ability?

Rating for Item 18:		Strength		Area Needing Improvement		Not Applicable
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Instructions for Item 18: Child and Family Involvement in Case Planning

This item focuses on the agency’s efforts to involve the child(ren), parent(s), and permanent caregivers (for example, pre-adoptive parents and guardians) in case planning activities.

For In-Home cases, reviewers should assess whether the family has been/were involved in the case plan development. Reviewers should determine the extent to which the appropriate family members have been/were involved in determining the following: (1) their strengths and needs, (2) the type and level of services needed, and (3) their goals and their progress toward meeting them.

If the original case plan was developed before the period under review and the goals remain the same, reviewers should consider the agency’s attempts to involve the parent(s) in developing that case plan and also in the ongoing case planning activities that occurred during the period under review, such as updating and evaluating case plans or developing new plans.

Reviewers should rate this item as an **area needing improvement** if a case plan was not completed.

Reviewers should rate this item as **not applicable** if (1) the child(ren) is/was not old enough or is/was incapacitated **and** (2) the father and mother’s involvement was determined to be contrary to the child(ren)’s safety or best interests **or** the father and mother were absent, and the agency tried to locate them but was unable to do so.

Provide documentation that supports the rating for item 18

This item is rated as a(n) _____ because: _____

Outcome WB1: Families have enhanced capacity to provide for their children's needs.				
Item 19. Worker Visits With Child (Case Record, Interviews With Caseworker, Child, Foster Parent(s))				
Core Questions	Weekly	Bi-weekly	Monthly	Less Than Monthly
A. What has been/was the most typical pattern of visitation between the caseworker and the child(ren) during the period under review?				
		Yes	No	Not Applicable
B. When visits are/were occurring less frequently than required, is/was the frequency of visits consistent with the needs/risk of the child(ren)? Reviewers should check not applicable when visits are/were occurring at least at the required frequency.				
C. Do/did the visits between the caseworker and the child(ren) focus on issues pertinent to case planning, service delivery, and goal attainment?				
D. For children placed outside the State during the period under review, is/was the child visited at least every 6 months by a caseworker of the supervising, placing and a report filed with the agency holding custody?				
Exploratory Questions				
<ul style="list-style-type: none"> • What is/was the child's need for contacts with the worker? • Who is/was the party responsible for maintaining visits and is there documentation of the visits? • What are/were the quality and substance of visits (for example, duration, activities, nature of discussions, planned vs. unplanned visits)? • What is/was the location of visits? • What is/was the frequency and consistency of visits? • What are/were the factors affecting frequency of visits? • What are/were the reasons for infrequent visiting, if applicable? 				
Rating for Item 19:	<input type="checkbox"/>	Strength	<input type="checkbox"/>	Area Needing Improvement
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Not Applicable
Instructions for Item 19: Worker Visits With Child				
<p>This item focuses on worker visits with the child. Visits are defined as face-to-face contacts between the worker and child.</p> <p>Reviewers should determine the most typical pattern of visiting during the period under review since the actual frequency may vary for different time periods. When reviewers note that visits occur infrequently, they should use the Exploratory Questions to determine the barriers to more frequent visiting.</p> <p>Reviewers should rate this item based on the child(ren)'s need for contact with the caseworker, not the agency's policy on caseworker visits. For example, reviewers should rate this item as an area needing improvement if there is/was less frequent visiting than needed by the child(ren).</p> <p>If visits were less frequent than needed or required, reviewers must provide substantial documentation in order to rate this item as a strength.</p>				
Provide documentation that supports the rating for item 19				
<p>This item is rated as a(n) _____ because: _____</p>				
Outcome WB1: Families have enhanced capacity to provide for their children's needs.				

Item 20. Worker Visits With Parent(s) (Case Record, Interviews With Caseworker, Parent(s), Foster Parent(s))						
Core Questions		Weekly	Bi-weekly	Monthly	Less Than Monthly	Not Applicable
<p>A.</p> <p>What is/was the most typical pattern of visitation between the caseworker and the parent(s) during the period under review?</p> <p>Reviewers should check not applicable if visiting with parents is contrary to the child(ren)'s safety or best interests and document the reasons below.</p>	Mother:					
	Father:					
				Yes	No	Not Applicable
<p>B. When visits are/were occurring less frequently than required, is/was the frequency of visits consistent with the needs/risk of the child(ren)? Reviewers should check not applicable if visits are occurring at least at the required frequency.</p>						
<p>C. Do/did the visits between the caseworker and the parents focus on issues pertinent to case planning, service delivery, and goal attainment?</p>						
<p>Exploratory Questions</p> <ul style="list-style-type: none"> • What are/were the parents' needs for contacts with the caseworker? • Who is/was the party responsible for maintaining visits? • What is/was the location of visits? • What are/were the frequency and consistency of visits? • What are/were the factors affecting frequency of visits? • What are/were the reasons for infrequent visiting and were diligent efforts documented for all parents? • What are/were the quality and substance of visits (for example, duration, activities, nature of discussions, planned vs. unplanned visits)? 						
Rating for Item 20:			Strength		Area Needing Improvement	
<p>Instructions for Item 20: Worker Visits With Parent(s)</p> <p>This item focuses on worker visits with the parent(s) and permanent caregivers (for example, pre-adoptive parents and guardians).</p> <p>Visits are defined as face-to-face contacts between the worker and the parent(s).</p> <p style="text-align: right;"><i>(Instructions continue on the next page)</i></p>						

Reviewers should determine the most typical pattern of visiting during the period under review since the actual frequency may vary for different time periods.

When reviewers note that visits occur infrequently, they should use the Exploratory Questions to determine the barriers to more frequent visiting.

Reviewers should rate this item based on the parent(s)' **need** for contact with the caseworker, not the agency's policy on caseworker visits. For example, reviewers should rate this item as an **area needing improvement** if there is/was less frequent visiting than **needed** by the parent(s).

If visits were less frequent than needed or required, reviewers must provide substantial documentation in order to rate this item as a **strength**.

Provide documentation that supports the rating for item 20

This item is rated as a(n) _____ because: _____

DISCUSSION OF CHILD AND FAMILY WELL-BEING OUTCOME #1

Outcome WB1: Families have enhanced capacity to provide for their children's needs.

Check the level of outcome achievement that best describes the extent to which this outcome is being or has been achieved, based on the case record reviews and interviews. In the box, provide documentation that supports the level of outcome achievement selected for each item.

Level of Outcome Achievement

- Substantially Achieved: Item 17 must be rated as a strength, plus no more than one of the remaining applicable items may be rated as an area needing improvement (disregard items rated as not applicable).
- Partially Achieved: Item 17 is rated as an area needing improvement, or two or more (but not all) of the applicable items are rated as areas needing improvement (disregard items rated as not applicable).
- Not Achieved: All of the applicable items are rated as areas needing improvement (disregard items rated as not applicable).
- Not Applicable: All of the items are rated as not applicable.

	Strength	Area Needing Improvement	NA
Item 17			
Item 18			
Item 19			
Item 20			

Outcome WB2: Children receive appropriate services to meet their educational needs.			
Item 21. Educational Needs of the Child (Case Record, Interviews With Caseworker, Child, Foster Parent(s), Parent(s))			
Core Questions	Yes	No	Not Applicable
A. During the period under review, did the agency make concerted efforts to assess the child(ren)'s educational needs?			
B. Indicate below whether the child(ren)'s identified educational needs are/were being addressed by:			
B1. Special education classes. Reviewers should check not applicable if there are/were no identified special education needs or the child is/was not school age.			
B2. Services for identified educational needs. Reviewers should check not applicable if no unusual educational needs are/were noted.			
B3. Early intervention for preschool children. Reviewers should check not applicable if early intervention is/was not needed or the child is/was not school age. Early intervention refers to early intervention programs operated by the State's education system for preschool children, infants, and toddlers who have developmental delays.			
B4. Inclusion of school records in the case file. Reviewers should check not applicable if the child is/was not school age or not in foster care.			
B5. Advocacy with the education/school system. Reviewers should check not applicable if there are/were no identified needs or the child is/was not school age. Advocacy refers to efforts by the agency to obtain educational services for the child. This might include, for example, arranging for priority testing for special education or other special placement classes or meeting with school personnel to address the child's performance. This question is applicable whether or not the child is/was in special education.			
B6. Attention to education in case planning. Reviewers should check not applicable if there are/were no identified needs (for In-Home cases only) or the child is/was not school age. Reviewers should check no if the child is/was of school age and in foster care and education was not addressed in case planning.			
B7. Providing education records to foster parents. Reviewers should check not applicable if the child is/was not in foster care or the child is/was not school age.			
Exploratory Questions			
<ul style="list-style-type: none"> • What are/were the identified educational needs of the child(ren)? • What services are/were being provided to address the child(ren)'s educational needs? • What are/were the reasons for changes of school placement, if applicable? • What testing/evaluation was performed to determine the educational needs of the child(ren)? • What is/was the child(ren)'s functioning in school? • What is/was the appropriateness of services provided related to identified needs, such as those stemming from developmental delays or learning disabilities? • What activities are/were performed to address the child(ren)'s educational needs? • If the child is/was in foster care, is/was the child enrolled in multiple schools as the result of being placed in foster care? 			
<i>(Continued)</i>			
Rating for Item 21:		Strength	
		Area Needing Improvement	
			Not Applicable

Instructions for Item 21: Educational Needs of the Child

This item focuses on the educational needs of the child.

If the child is/was in foster care, item 21 applies to that specific child only. If the instrument is being completed for a family receiving In-Home services, reviewers should apply item 21 to all children in the family.

Question A should be answered Yes if there was evidence of an educational assessment in the case file, such as the following:

- An educational assessment was included in the comprehensive needs assessment.
- A separate educational assessment was conducted by the school (and made available to the agency) or by the agency.
- The agency conducted an informal (and documented) educational assessment.

Reviewers should rate this item as a **strength** if the child(ren)'s educational needs were assessed and addressed, including cases where the educational records are not maintained in the case record. In these instances, reviewers should, however, document that the educational records are missing and the reasons why.

Reviewers should rate this item as a **strength** if the agency conducted an assessment of educational issues and determined that there were no problems in that area, nor any need for educational services.

Provide documentation that supports the rating for item 21

This item is rated as a(n) _____ because: _____

DISCUSSION OF CHILD AND FAMILY WELL-BEING OUTCOME #2

Outcome WB2: Children receive appropriate services to meet their educational needs.

Check the level of outcome achievement that best describes the extent to which this outcome is being or has been achieved, based on the case record reviews and interviews. In the box, provide documentation that supports the level of outcome achievement selected for each item.

Level of Outcome Achievement

- Substantially Achieved: Item 21 is rated as a strength.
- Partially Achieved: Item 21 is rated as an area needing improvement, although some components of item 21 are being addressed in a satisfactory manner.
- Not Achieved: Item 21 is rated as an area needing improvement and none of the components of item 21 are being addressed in a satisfactory manner.
- Not Applicable: Item 21 is rated as not applicable.

	Strength	Area Needing Improvement	NA
Item 21			

Outcome WB3: Children receive adequate services to meet their physical and mental health needs.			
Item 22. Physical Health of the Child (Case Record, Interviews With Caseworker, Child, Foster Parent(s))			
Core Questions	Yes	No	Not Applicable
A. If the child is/was in foster care, was an initial health screening (or other medical examination) provided in accordance with the timeframe specified in the State's guidelines? Reviewers should check not applicable if the child is/was not in foster care. Health care screening refers to the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) or other comprehensive medical examinations.			
B. B1. During the period under review, did the agency assess the child's physical health care needs?			
B2. During the period under review, did the agency assess the child's dental health care needs?			
C. Indicate below whether the child's physical health needs (including follow-up services) were being addressed in the following ways during the period under review. Reviewers should document what those needs are/were and how they are/were being met.			
C1. Preventive health care. Preventive health care refers to both the initial and periodic age-appropriate examinations and maintenance procedures designed to avoid, detect, and treat health problems.			
C2. Preventive dental care. Preventive dental care refers to both the initial and periodic age-appropriate examinations and maintenance procedures designed to avoid, detect, and treat dental problems.			
C3. Immunizations.			
C4. Treatment for identified health needs. Reviewers should check not applicable if the initial or subsequent health screens did not identify needs requiring treatment.			
C5. Treatment for identified dental needs. Reviewers should check not applicable if there are/were no identified needs.			
C6. Providing health records to foster parents. Reviewers should check not applicable if the child is/was not in foster care.			
Exploratory Questions			
<ul style="list-style-type: none"> • How are/were comprehensive medical examinations managed (beyond initial screenings)? • What type of initial screening was received by the child in foster care? • How recent are/were immunizations? • What has been/were the frequency of subsequent health screenings and preventive dental care? Were the appropriate services available to meet the assessed needs? • If the services were not available what efforts were made to provide the services? • What is/was the appropriateness of services provided related to the identified needs, such as those stemming from developmental delays or learning disabilities? • What is/was the treatment of identified health and dental needs? • What is/has been the agency's method for tracking the medical needs and services of the child(ren)? • Do/did foster parents (provider) have copies of the child's health records? 			

Rating for Item 22:		Strength	Area Needing Improvement		Not Applicable
<p>Instructions for Item 22: Physical Health of the Child</p> <p>This item focuses on the physical health of the child.</p> <p>If the child is/was in foster care, reviewers should apply item 22 to that specific child only. If the instrument is being completed for a family receiving In-Home services, reviewers should apply item 22 to all children in the family.</p> <p>Reviewers must determine whether the child has/had any identified health needs.</p> <p>The primary criteria for rating this item is whether the child's health needs were identified in a timely manner and treated appropriately, if applicable. Reviewers should answer Question A based on the most recent entry into foster care, even if it occurred before the period under review, and answer Question B and C for the period under review.</p> <p>Reviewers should determine if there is evidence that, during the period under review, the agency arranged for assessment of the child(ren)'s health care needs, including dental care needs, both initially (if the child entered foster care during the period under review), or on an ongoing basis through periodic health and dental screening services conducted during the period under review.</p> <p>Reviewers should rate this item as a strength if the agency conducted an assessment of physical health and determined that there were no problems in that area, nor any need for physical health services.</p>					
<p>Provide documentation that supports the rating for item 22</p> <p>This item is rated as a(n) _____ because: _____</p> <p>_____</p> <p>_____</p> <p>_____</p>					

Outcome WB3: Children receive adequate services to meet their physical and mental health needs.

Item 23. Mental Health of the Child (Interviews With Caseworker, Parent(s), Foster Parent(s), Service Providers)

Core Questions	Yes	No	Not Applicable
A. During the period under review, did the agency conduct an assessment of the child(ren)'s mental/behavioral health needs either initially (if the child entered foster care during the period under review) or on an ongoing basis to inform case planning decisions?			
B. During the period under review, did the agency provide appropriate services to address the child(ren)'s mental/behavioral health needs?			

Exploratory Questions

- How were mental health needs assessed at initial agency involvement or upon the child's entering foster care?
- How are/were mental health needs assessed on an ongoing basis?
- What are the current mental health needs?
- What services have been provided for mental health needs, including any followup care identified?
- Were the appropriate services available to meet the assessed needs?
- If the services were not available what efforts were made to provide the services?
- What is/was the appropriateness of services provided related to the child's identified needs, such as those stemming from developmental delays or learning disabilities?

Identified Mental/Behavioral Health Needs	Services Provided	Services Needed but Not Provided

Rating for Item 23:		Strength		Area Needing Improvement		Not Applicable
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Instructions for Item 23: Mental Health of the Child

This item focuses on the mental health of the child.

If the child is/was in foster care, reviewers should apply item 23 to that specific child only. If the instrument is being completed for a family receiving In-Home services, reviewers should apply item 23 to all children in the family.

Reviewers should focus on all of the child's mental health needs, as would be identified by reading the case notes or through case-related interviews, not only those that have been diagnosed by a mental health professional.

The primary criteria for rating item 23 is whether the child's mental health needs were identified in a timely manner and treated appropriately, if applicable.

Reviewers should consider the mental/behavioral health needs that existed during the period under review and the services that the agency provided to address those needs, including outpatient treatment, inpatient mental health treatment, treatment for substance abuse disorders, individual therapy, group therapy, family therapy, etc.

Reviewers should rate this item as a **strength** if the agency conducted an assessment of the child’s mental health and determined that there were no problems in that area, nor any need for mental health services.

Provide documentation that supports the rating for item 23

This item is rated as a(n) _____ because: _____

DISCUSSION OF CHILD AND FAMILY WELL-BEING OUTCOME #3

Outcome WB3: Children receive adequate services to meet their physical and mental health needs.

Check the level of outcome achievement that best describes the extent to which this outcome is being or has been achieved, based on the case record reviews and interviews. In the box, provide documentation that supports the level of outcome achievement selected for each item.

Level of Outcome Achievement

- Substantially Achieved: All applicable items are rated as strengths (disregard items rated as not applicable).
- Partially Achieved: One of the applicable items is rated as an area needing improvement and one is rated as a strength (disregard items rated as not applicable).
- Not Achieved: All applicable items are rated as areas needing improvement (disregard items rated as not applicable).
- Not Applicable: Both of the items are rated as not applicable.

	Strength	Area Needing Improvement	NA
Item 22			
Item 23			