
COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

MA-3435-COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REISSUED 10/01/08-CHANGE NO. 14-08

I. BACKGROUND

In 1991, Carolina ACCESS (CA) was implemented on a county by county basis and in 1998 CA became statewide. Its purpose is to improve access to primary care, improve quality of care and utilization of services, and provide a more cost effective system of care. This is accomplished by linking recipients to a medical home where there is a primary care provider (PCP) to deliver and coordinate health care.

In 1996, Medicaid began to use the existing CA infrastructure to build an enhanced managed care plan, Community Care of North Carolina (CCNC) formerly known as ACCESS II & III. CCNC developed networks of CA providers in order to deliver community directed care. Each network developed an administrative entity to plan and administer disease targeted case management services. Each network brings together key players in the community who provide services to Medicaid and North Carolina Health Choice (NCHC) recipients. These players include primary care providers, DSSs, health departments, and others depending on community resources. CCNC and CA are now combined into one program.

Medicaid recipients continue to know the program as Carolina ACCESS. This is the name that appears on their Medicaid card and it is also the name that appears in the Carolina ACCESS Member Brochure. **Counties should continue to use the name, Carolina ACCESS when talking with Medicaid recipients.** Because not all primary care providers who are enrolled in Carolina ACCESS choose to join with a network, there will continue to be PCPs who are Carolina ACCESS providers only.

Every PCP, whether he participates in CA or CCNC, must first be enrolled in Carolina ACCESS and must complete a Carolina ACCESS provider contract and agreement before he can be a PCP. A provider cannot move into a network unless he has first been approved as a CA provider. For the purpose of this section, Medicaid managed care will be identified as CCNC/CA.

In 2005, the General Assembly passed legislation requiring NCHC children ages 6-18 to be linked to a CCNC provider.

The Recipient and Provider Services section of DMA has assumed responsibility in carrying out trainings and policy implementation of CCNC/CA with the local DSSs. The Managed Care Section continues to be responsible for policy development.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REISSUED 10/01/08-CHANGE NO. 14-08

(I.)

The Managed Care section works closely with the provider community and the local network administrative entities. Regional managed care consultants (MCC) develop and coordinate community involvement in the CCNC/CA program.

II. POLICY PRINCIPLES

Community Care of North Carolina/Carolina Access (CCNC/CA), provides the Medicaid and North Carolina Health Choice recipient with a medical home and Primary Care Provider (PCP) who manages care for continuity and ensures services are provided that are medically necessary. This section outlines the specific procedures and requirements.

III. DMA RESPONSIBILITY

A. Recipient Services

1. Recipient Services provides the following:
 - a. Distributes CCNC/CA policy, and
 - b. Coordinates with the Managed Care Section for all county functions relating to CCNC/CA enrollment and education.
2. The Medicaid Program Representatives (MPR) do the following:
 - a. Provide technical assistance in establishing county protocols and procedures.
 - b. Inform and train DSS supervisory staff on Managed Care policies.
 - c. Monitor the CCNC/CA provider directory to assure it is current and accurate.
 - d. Communicate state policies and/or procedures regarding CCNC/CA to the county DSS.
 - e. Work with the MCC's on CCNC/CA issues affecting the county's ability to carry out its role.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(III.)

B. Managed Care

1. The Managed Care Section does the following:
 - a. Develops Managed Care policy, educational material, and standardized forms.
 - b. Trains MPRs.
 - c. Monitors enrollment and overrides.
 - d. Investigates complaints and inquiries.
 - e. Maintains a monthly enrollment progress report with specific county enrollment numbers and percentages.
2. The regional managed care consultants have the following roles:
 - a. Assure provider recruitment, enrollment, and education.
 - b. Assist with development and expansion of CCNC/CA.
 - c. Serve as health check program consultants.
 - d. Work with the MPRs on CCNC/CA initiatives affecting the DSS.

IV. COUNTY DSS RESPONSIBILITIES

- A.** Designate a county Carolina ACCESS contact and back-up person.
- B.** Maintain a current and accurate county CA Primary Care Provider Directory.
- C.** At application or redetermination, complete the mandatory Carolina ACCESS Enrollment Form, [DMA- 9006, \(ATT. 1\)](#) to link recipients with a medical home/PCP or to exempt recipients from participation, using the exempt policy to determine the appropriate exempt code. Make timely changes for a medical home/PCP as requested by the recipient. Enter the appropriate change reason code if applicable.
- D.** Key the CCNC/CA PCP provider number or exempt code in EIS.
- E.** Educate enrollees on how to access medical care as a member of CCNC/CA, and answer recipient questions about CCNC/CA policies.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REISSUED 10/01/08-CHANGE NO. 14-08

(IV.)

- F.** Refer CCNC/CA enrollees or providers with questions or concerns outside the scope of CCNC/CA enrollment and education to the appropriate individual or agency.
- G.** Provide a Carolina ACCESS, The Benefits of Being a Member, ([ATT. 13](#)) handout to every NCHC applicant at application and NCHC recipient at review. The Carolina ACCESS brochure must continue to be given to the Medicaid recipients.
- H.** Assist members in choosing a medical home/PCP based on location of the recipient, medical history, or physical needs. Assist members in locating medical providers, i.e., dentists.

V. CAROLINA ACCESS CONTACT PERSON AND BACK-UP PERSON

- A.** The county DSS must designate a Carolina ACCESS contact and back up person. For counties that have a Managed Care Representative (MCR), the MCR will be the contact.
 - 1. Report the name and phone number of the county Carolina ACCESS contact and back up to your MPR.
 - 2. If there are any changes in your contact or back up, notify your MPR within five work days.
 - 3. The MPRs will inform the Managed Care Section regarding the Carolina ACCESS contact and back up.
 - 4. The Managed Care Section will maintain a master list based on Carolina ACCESS contacts and back-ups as reported to the MPR.

B. Duties of the Carolina ACCESS Contact Person and Back-Up Person

- 1. The Carolina ACCESS contact is a resource for State office staff, MPRs, and Managed Care consultants to relay any information to the county regarding recipient enrollment and education.
- 2. The Carolina ACCESS contact may assume additional responsibilities pertaining to CCNC/CA education and enrollment at the county discretion. Examples of additional duties include: maintaining the county provider directory, working the exempt reports, enrolling SSI recipients, or educating enrollees.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS**REISSUED 10/01/08-CHANGE NO. 14-08**

(V.B.)

3. The Carolina ACCESS contact (unless a Managed Care Representative) is NOT a resource for recipients and providers. Recipients will be instructed to contact their caseworker regarding enrollment and education issues. Providers will be instructed to contact their Managed Care Consultant.
4. If a DSS needs to relay information regarding a CCNC/CA enrollee to another county, the county can choose to communicate directly, contact the MPR, contact the regional managed care consultant or contact the state managed care office at 919-647-8170. The state managed care section will provide the CCNC/CA contact list to each county's designated contact person on a quarterly basis.

VI. CCNC/CA PROVIDER DIRECTORY

The county DSS is responsible for maintaining the provider directory .The county may designate who maintains the directory. For example, it may be the Carolina ACCESS contact, a Medicaid supervisor or caseworker, or administrative or clerical staff.

The directory must be maintained in two formats. Maintain one directory for Income Maintenance Caseworkers (IMCs) to use to enroll recipients in CCNC/CA. This directory includes the provider number. Maintain a second directory to mail to the recipients to choose a medical home. This directory does not include the provider number.

It is very important to maintain a current and accurate county directory to assure recipients are linked with an appropriate Primary Care Provider (PCP). For example, do not enroll a new patient with a provider who only accepts established patients, or do not enroll a recipient who is under age 20 with a provider who does not see patients under age 20.

Each county may customize the provider directory to meet its needs. For example, you may choose to list providers by specialty, availability, location, restrictions, etc. DMA provides the following tools for the county to maintain the directory.

The county caseworkers must utilize the existing CCNC provider list for NCHC children enrollment.

A. NCXPTR

1. Provider Directory (DHREJ Carolina ACC Pvdr Directry) updated nightly in EIS.
2. Monthly Availability Report (DHREJ Carolina ACC Pvdr Availbty) updated monthly in EIS.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REISSUED 10/01/08-CHANGE NO. 14-08

(VI. A.)

The Provider Directory and Availability Reports are indexed by county. To select the report for just your county, follow these steps:

From the V22: Latest Report, key an "X" to the left of the report name From the V15: Report Name, key an "S" to the left of COUNTY\$. Use F7/F8 to page backward/page forward to find your county. Key an "S" to the left of your county number. Refer to [EIS 1061](#), XPTR Report Distribution System, for complete instructions to access reports on NCXPTR including how to browse reports and options available while browsing.

B. EIS

EIS has three Carolina ACCESS Primary Care Provider Information Screens (MP). These screens contain all information necessary to enroll individuals in Carolina ACCESS including availability and restrictions. It is very important to verify the restrictions on the MP screens to link recipients appropriately. The restrictions are listed below. Also, refer to [Att. 2 a-d](#) for detailed information contained on the MP screens.

01 No restriction

02 Established patients only

06 MPW only (pink card)

07 Nephrology patients only (in same or contiguous counties)

08 Chronic infectious disease patients only (in same or contiguous counties)

09 Oncology patients only (in same or contiguous counties)

10 Established patients and siblings

11 Newborn only

14 Two track clinics; facilities serving two distinct populations

15 Age restrictions- refer to Notepad (PF 4/16) on MP Screen in EIS

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(VI.)

C. Weekly Update

The Provider Services Section faxes a weekly list to the county Carolina ACCESS Contact summarizing changes in the Provider Directory. The Carolina ACCESS Contact is responsible for forwarding the update to the person who maintains the directory.

VII. ENROLLMENT**A. Enrollment Rules**

1. The county DSS must either enroll recipients in CCNC/CA or exempt recipients at application, redetermination, or any time a recipient contacts the agency to request a change in CCNC/CA enrollment status.

EIS requires (at application and review) for NCHC children a **valid** (active on the Managed Care Provider Database) Carolina ACCESS Provider or Exempt number be keyed in the Carolina ACCESS Provider/Exempt Number field on the DSS-8125.

2. Each eligible Medicaid and North Carolina Health Choice (NCHC) recipient chooses a primary care provider from a list of participating Primary Care Providers (PCPs) who meet the recipient's criteria. Before enrollment always:
 - a. Verify the provider availability, and
 - b. Verify provider restrictions, and
 - c. Review the recipient's medical history, location of residence, and type of medical care.
3. If the recipient fails to make a choice, the Income Maintenance Caseworker, (IMC) must assign an appropriate medical home/PCP based on the criteria listed above. Recipients should not be assigned to a medical home/PCP outside of a 30 mile radius from the recipient's residence.

IMC's do not auto assign NCHC to a CCNC/CA provider. Assign these recipients to the 9900032 code.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(VII. A.)

4. Each family member may have a different medical home/PCP. For example, a mother may choose a family practitioner for herself and a pediatrician for her children.
5. Complete the mandatory Carolina ACCESS Enrollment Form, [DMA- 9006](#), [\(ATT. 1\)](#) for all Medicaid and North Carolina Health Choice (NCHC) recipients and file in the case record.
6. The effective date of enrollment is always the on-going month after the action processes in EIS. Depending on the system regular run, this may be the next month or the following month. Please note that the system cut-off for SSI cases is the 11th working day from the end of the month. **DO NOT ENROLL AN SSI RECIPIENT OR CHANGE AN SSI RECIPIENT'S CCNC/CA PROVIDER BETWEEN THE SSI REGULAR RUN AND PULL NIGHT.**
7. Do not enroll in CCNC/CA when the recipient:
 - a. Is ineligible for CCNC/CA (MAF-D, MAF-W, MQB, Q, B, or E, MRF, SAA, RRF, illegal aliens), or
 - b. Has a living arrangement code of **16,17,50,58,59,60,70,71,72,73,75**, or
 - c. Meets the deductible later than the second month of the certification period, or
 - d. Is a CAP recipient with a monthly deductible, or
 - e. Has no ongoing eligibility (open/shut/retroactive eligibility only).
8. Manually remove the CA provider number when:
 - a. Keying a county transfer (non-SSI), or
 - b. Changing cases from authorized to deductible status.
 - c. Changing NCHC (MIC, J, K, A, S) to Optional Extended Coverage (MIC, L).

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(VII.)

9. There are three enrollment reference tools for the county to use as needed:
 - a. EIS generates a monthly Carolina ACCESS (CA) Enrollment Report by county (DHREJ CAR ACC ENROLLMENT REPORT). It is available in NCXPTR.
 - b. For NCHC, EIS generates a monthly Carolina ACCESS Enrollment Report by county (DHREJ CAR ACC ENROLL RPT NCHC). It is available in NCXPTR.
 - c. Managed Care posts a monthly County Progress Enrollment Report on the DMA website: <http://www.ncdhhs.gov/dma/medicaid/index.htm> The report is found under the Publications link at the website.

B. Mandatory and Optional Coverage Groups:

MANDATORY	OPTIONAL
AAF/Work First	MPW
MIC (N) and MIC (1)	HSF
MAF	IAS
MAABD (without Medicare)	MAABD (with Medicare)
SAD (without Medicare)	SAD (with Medicare)
MSB	End Stage Renal Disease Patients
NC Health Choice Children	SSI recipients under age 19
	Self-identified children with special health care needs
	Native Americans
	Benefit Diversion Cases

C. Ineligible Group Coverage Groups:

INELIGIBLE
MQB
SAA
RRF/ MRF
Recipients in "D" status
Cap Cases with a monthly deductible
Aliens eligible for Emergency Medicaid only
Nursing Facility residents
MAF-D
MAF-W

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(VII.)

D. Exempt Codes**The following exempt codes are system generated**

CODE	PROGRAM	DEFINITION
9999901	Medicaid	Coverage groups ineligible for CCNC/CA (MQB, MRF, SAA, RRF, illegal alien classifications)
9900010	Medicaid	SSI recipients without Medicare. Code is assigned by the Social Security Administration.
9900011	Medicaid	SSI recipients with Medicare (dual eligibles). Code is assigned by the Social Security Administration.
9900029	Medicaid	Non-SSI transfers from one county to another.
9900045	Medicaid	Approved Benefit Diversion Cases (AAF). Also used when the state mandates Medicaid be reopened until a determination for ongoing eligibility can be made.
9900050	Medicaid	SSI recipients become non-SSI and previously exempted with '10 code (ex-parte).
9900058	Medicaid	Recipients who are Incarcerated.
9900059	Medicaid	Recipients, age 21-64, who are in an Institution for Mental Disease.
9900060	Medicaid	SSI recipients become non-SSI and previously exempted with '11 code (ex-parte).
9900070	Medicaid	Mass exemption by practice; USED ONLY BY THE STATE.

The following exempt codes must be manually keyed into EIS

9999902	Medicaid	Recipients residing in a nursing facility (living arrangement 50, 58, 59, 60) or patient in a psychiatric facility (living arrangement codes 70, 71, 72, 73, 75).
9999903	Medicaid	Optional Medicare recipient chooses to be exempt.
9999906	Medicaid	Recipients who are enrolled in PACE.
9900006	Medicaid	Temporary code: WHEN APPROVED by DMA managed care.
9900012	Medicaid	Native Americans who have a valid Indian Health Service identification card who choose not to enroll in CCNC/CA.
9900013	Medicaid	MPW recipients opting out of enrollment or pregnant women in any category who have started prenatal care with a non-CCNC/CA.
9900015	Medicaid	Children under 21 years of age who have special needs: <ul style="list-style-type: none"> • HSF & ISF • SSI recipients < 19 years of age • Self-identified
9900020	Medicaid	Six month medical exemption REQUIRES STATE APPROVAL.
9900021	Medicaid	Permanent medical exemption REQUIRES STATE APPROVAL.
9900022	Medicaid	End stage renal disease patients (does not require state approval).
9900023	Medicaid	Temporary code "Medical exemption requested. Decision pending".
9900025	Medicaid	Recipient has other primary health insurance and pcp does not participate in CCNC/CA. Code applies to recipients with Tri-Care, CHAMPUS, and VA.
9900032	Health choice	Child receiving NCHC and who is established with a non-participating PCP.
9900033	Medicaid	Temporary code used for mail-In applications when no PCP is chosen and the worker has attempted to contact the recipient unsuccessfully.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS**REVISED 10/01/08 - CHANGE NO. 14-08**

(VII.)

E. Exempt Reports

1. DMA **strongly recommends** counties establish procedures to approve and track exemptions in order to maintain the integrity of CCNC/CA.
2. To help track exemptions, EIS generates two monthly reports. Both reports are in NCXPTR. Refer to [EIS 1061](#), XPTR Report Distribution System.
 - a. Exempt Report (DHREJ EXEMPT PVDR CODE REPORT), and
 - b. SSI Exempt Report (DHREJ SSI EXEMPT MEDICARE REPORT).
3. The state Managed Care Section monitors the exemption reports. When exemptions are excessive, state staff forwards this information to the supervisor of the Medicaid Program Representatives.
4. The MPR works with counties on an individual basis for corrective action.

VIII. ASSIGNMENT PROTOCOLS FOR MEDICAID AND NORTH CAROLINA HEALTH CHOICE (NCHC) RECIPIENTS**A. Face to Face Applications and Redeterminations**

The linking of NCHC children ages 6-18 with a CCNC provider and primary home occurs during the regular NCHC application and review process as instructed in MA-3255, NC Health Choice, in the Family and Children's Medicaid manual.

1. Explain the benefits and requirements of CCNC/CA to all mandatory and optional Medicaid and North Carolina Health Choice applicants and recipients.
2. Provide each Medicaid and North Carolina Health Choice applicant/recipient (a/r) with a list of CCNC/CA primary care providers. Do not include the PCP's provider number on this list. Do not select a provider for NCHC children.
3. Make every effort to help the a/r choose a doctor for each person during the interview based on provider availability, restrictions, and medical needs.
4. If the a/r cannot choose or refuses to choose a PCP and is not otherwise exempt, without approval from the DMA Managed Care Section, choose a PCP for each recipient based on his medical history, location of residence, and type of care. In addition, verify the provider availability and restrictions. **See VIII.G, H, or J below for exemptions requiring DMA Managed Care approval.**
5. Complete the Carolina ACCESS Enrollment Form, [DMA- 9006, \(ATT. 1\)](#) and file it in the case record.
6. Follow EIS instructions to enter the provider number or exemption number at application disposition or redetermination.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(VIII.A)

7. If temporary codes 9900006 or 9900023 are present at re-determination, follow procedures in VIII.A.1-6 above. TEMPORARY CODES 9900006 and 9900023 can not be reassigned without approval from the DMA Managed Care Section.
8. If six month temporary code 9900020 is present and the recipient continues to claim a medical exemption, follow procedures in VIII.H below.
9. Give the NCHC recipient the Carolina ACCESS, The Benefits of Being a Member, [\(ATT. 13\)](#) handout including the name of the medical home/PCP and phone number. Do not give the recipient the CCNC/CA provider number. The Carolina ACCESS brochure must continue to be given to the Medicaid recipients.

B. Mail-In Applications and Re-Enrollment

1. During the processing period for Medicaid or North Carolina Health Choice, contact the applicant/recipient by telephone when possible.
2. Explain CCNC/CA and provide a list of appropriate CCNC/CA primary care providers.
3. Assist the Medicaid and NCHC a/r to choose a Primary Care Provider (PCP) at this time.
4. If the a/r chooses a Primary Care Provider (PCP) or is otherwise exempt, without approval from the DMA Managed Care Section, complete the Carolina ACCESS Enrollment Form, [DMA- 9006, \(ATT. 1\)](#) and file it in the case record. See VIII.G, H, or J below for exemptions requiring DMA Managed Care approval.
5. If the a/r cannot choose a PCP at that time or if you are unable to contact the applicant, mail him the cover letter for mail-in/reenrollment recipients [\(ATT. 3\)](#) or the temporary exemption expired cover letter [\(ATT.10\)](#) and a list of CCNC/CA primary care providers. Ask that he contact you with a choice within the application processing period.
6. If the applicant/recipient does not make a choice by the time the case is processed, assign an appropriate Primary Care Provider (PCP) for each individual and complete the Carolina ACCESS Enrollment Form. Do not assign a recipient to an exempt number unless all criteria for the appropriate exemption are met. Do not select a provider for NCHC children.
7. Mail the Carolina ACCESS, The Benefits of Being a Member [\(ATT. 13\)](#), handout including the Primary Care Provider name and phone number to the NCHC applicant/recipient. The Carolina ACCESS brochure must continue to be given to the Medicaid recipients.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS**REVISED 10/01/08 - CHANGE NO. 14-08**

(VIII.)

C. Auto Newborns

1. When you are notified by any source of a newborn, process (approve or deny) an application within 5 workdays.
2. Make every attempt to contact the mother by telephone during this period.
3. Explain CCNC/CA and provide the mother with a list of CCNC/CA primary care providers.
4. Assist the mother in choosing a PCP for the newborn at this time.
5. If the mother chooses a Primary Care Provider or is otherwise exempt; complete the Carolina ACCESS Enrollment Form, [DMA- 9006, \(ATT. 1\)](#).
6. If the mother does not make a choice by the time the application is processed or you are unable to contact her, assign an appropriate PCP for the newborn. To help choose a Primary Care Provider it is recommended that you contact the hospital Income Maintenance Caseworker (IMC), the Maternity Care Coordinator (MCC), or a hospital representative to verify the attending pediatrician.
7. Mail the Carolina ACCESS, The Benefits of Being a Member, [\(ATT.13\)](#) handout including the baby's Primary Care Provider name and phone number to the mother if the child is approved under NCHC. Continue to mail the Carolina ACCESS brochure to Medicaid recipients.

D. SSI Recipients

1. SSI recipients are automatically enrolled in Medicaid. EIS generates a Medicaid approval notice to all approved SSI Medicaid recipients which reads:

Carolina ACCESS is a managed health care service under Medicaid. With this service your health care is supervised by a primary care doctor. You are required to participate in this program unless you receive Medicare. You must contact your county department of social services within 30 days if you want to choose your primary care doctor. If you do not contact the department of social services, a doctor will be assigned to you. If you receive Medicare and would like to participate, call your department of social services for details. If you receive Medicare, Medicare is responsible for your prescription drugs.
2. When the SSI recipient calls the DSS:
 - a. Explain CCNC/CA, and
 - b. Assist in choosing an appropriate medical home/PCP, and

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS**REVISED 10/01/08 - CHANGE NO. 14-08**

(VIII. D.2.)

- c. Complete the Carolina ACCESS Enrollment Form, [DMA- 9006, \(ATT. 1\)](#) and enroll in CCNC/CA for the ongoing month (Remember the system cut-off for SSI cases is the 11th working day from the end of the month) and
 - d. Mail SSI recipients the Carolina Access Brochure.
3. Review the SSI without Medicare exempt report (9900010) on a monthly basis:
 - a. If a recipient has been on the list over 30 days, assign an appropriate PCP.
 - b. Mail the recipient the cover letter for mandatory SSI recipients without Medicare ([ATT. 4](#)). List the PCP you have assigned him to on the cover letter. Ask that he contact you if he does not want this Primary Care Provider. Allow 10 days to contact.
 - c. If you do not hear from the recipient by the 10th day, enroll the recipient in EIS for the ongoing month (Remember the system cut-off for SSI cases is the 11th working day from the end of the month).
 - d. Mail SSI recipients the Carolina Access Brochure including the name and phone number of the Primary Care Provider (PCP) to which he is assigned.
 4. **DMA strongly recommends** the DSS contact SSI recipients with Medicare (9900011) and assigns a PCP by phone or letter ([ATT. 5](#)) to explain the benefits of CCNC/CA and provide a list of providers.
 5. Enter exempt code 9999903 for SSI recipients with Medicare until the recipient contacts the agency and chooses a PCP. At that time enroll the recipient in CA.

E. County Transfers

1. When a non-SSI recipient transfers from one county to another county, manually delete the PCP number (EIS will not process the transfer until removed). EIS automatically assigns the 9900029 exempt code on the last working day of the month when the county transfer processes.
2. When an SSI recipient transfers from one county to another county, EIS automatically inserts 9900010 or 9900011 in the provider field.
3. **DMA strongly recommends** contacting recipients by phone or letter ([ATT. 6](#)), to provide a list of your county PCP's and ask the recipient to contact you to enroll in CCNC/CA.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(VIII. E.)

4. No further follow-up is required until the next scheduled redetermination or the recipient chooses a PCP and contacts the agency. At that time the recipient must enroll in CCNC/CA or be otherwise exempt.

F. Provider Disenrollment

1. When DMA terminates a CCNC/CA provider, EIS deletes the PCP number for each recipient who was assigned to that PCP and generates a temporary exemption code (9900070).DMA mails a form letter to each recipient to explain that the PCP will not be on his Medicaid card the next month and to instruct him to contact his caseworker to re-enroll in CA ([ATT.7](#)).
2. In addition, DMA faxes each county contact person a list of the recipients previously linked with that provider number. **DMA strongly encourages** the county to contact each recipient to re-enroll in CA as soon as possible. The recipients' names and addresses are available in NC/XPTR in DHREJ 'CA ENROLLEES TERM PROV NO.'
3. No further follow-up is required until the next scheduled redetermination or the recipient chooses a PCP and contacts the agency. At that time the recipient must enroll in CA or be otherwise exempt.

G. Medicaid Recipient requests a non-participating Primary Care Provider (PCP)

1. If a Medicaid recipient wishes to see a doctor who is not participating in CA, explain that he must enroll and choose a participating PCP from the current directory. This does not apply to NCHC children.
2. Explain that the DMA Managed Care Section will try to recruit the non - participating provider. Complete the Carolina ACCESS Enrollment Form, [DMA- 9006, \(ATT. 1 \)](#) with a detailed explanation about why the exemption is needed. If the Medicaid recipient refuses or cannot make a choice, assign an appropriate PCP based on the restrictions and availability on the Enrollment Form. Fax the Carolina ACCESS Enrollment Form to:

Managed Care Section
Division of Medical Assistance
1-919-715-5235

If a county has a managed care representative (MCR), the DMA Managed Care Section has authorized the MCR to approve or deny this temporary exemption. If the county has an MCR, submit the enrollment form to the MCR. Do not select a provider for NCHC children.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS**REVISED 10/01/08 - CHANGE NO. 14-08**

(VIII.G.)

3. DMA Managed Care or the MCR will fax within 24 hours the approval or denial of the request back to the fax number from which it was received.
4. If exemption is denied, enroll the recipient with the PCP on the Enrollment Form or use another applicable exempt code if recipient meets the criteria.
5. If exemption is approved, enter the exemption code given on the returned Carolina ACCESS Enrollment Form. The DMA Managed Care Section will attempt to recruit the non-participating provider. If successful, DMA managed care will fax the county information that this provider has been enrolled and will provide the PCP number. Enroll the client when notification is received.

H. Medical Exemption

If a recipient requests an exemption from participating in CCNC/CA for a medical reason such as: medical care is complicated, terminal illness, sees many specialists, taking chemotherapy, or mental illness or developmental delay, follow managed care policy for exempting recipients for medical reasons.

Recipients who identify themselves as an End Stage Renal Disease patient do not have to go through the exemption process. Exempt with code 9900022.

1. Explain to the recipient that only the DMA Managed Care Section can authorize a medical exemption except for end stage renal disease. This exemption does not require state authorization.
2. Complete the Carolina ACCESS Enrollment Form, [DMA- 9006, \(ATT.1\)](#) with a detailed explanation about why the exemption is needed and fax it to:

Managed Care Section
Division of Medical Assistance
1-919-715-5235

Exempt with code 9900023, “Medical exemption requested, Decision pending” on the 8125.

3. Give or mail the a/r the Carolina ACCESS Medical Exemption Form, [DMA- 9002, \(ATT.8\)](#). Instruct him to give the form to his doctor to document the reason for his exemption. The doctor will forward the completed form directly to DMA (address provided on form). Also provide to the recipient the CA toll free number 888/245-0179 if he has additional questions.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(VIII. H.)

Do not give a Carolina ACCESS Medical Exemption Form, [DMA-9002, \(ATT.8\)](#) to an a/r who identifies himself as having a terminal illness or has had a major organ transplant. Fax the Carolina ACCESS Enrollment Form to the DMA Managed Care at 1-919-715-5235. **If the county has a managed care representative (MCR), the DMA Managed Care Section has authorized the MCR to approve or deny these 2 medical exemptions only. If the county has a MCR, submit the enrollment form to the MCR. All other medical exemption requests must be faxed to the DMA Managed Care Section.**

4. Upon receipt of the **Medical Exemption Form, DMA-9002, from the doctor,** the Managed Care Section will determine if the recipient is permanently exempt, **six month** exempt, or not exempt.
5. If additional information is required from the county record, a representative from the managed care section will contact the CA contact person.
6. When a determination is made, the managed care contact person will be notified of the decision. If the **medical** exemption is approved delete the **temporary code number (9900023)** and enter the permanent (9900021) or **six month (9900020) medical** exempt code. Managed Care will provide the approved code to be used. **If denied, assign a PCP.**
7. **If DMA managed care does not receive a Medical Exemption Form, [DMA-9002, \(ATT.8\)](#) from the doctor within 30 days, DMA managed care will fax back to the county notification that the exemption is denied. Assign a PCP.**
8. It is not necessary to change recipients who are currently exempt with a 9900020 code for medical reasons until the next redetermination. At that time delete the exempt code and follow procedures in **VIII.B. or 1 – 7 above for medical exemption.**

I. Recipient Disenrollment

1. When the county is informed by a recipient, MPR, Managed Care Consultant, or provider that a recipient must be disenrolled from a PCP, the worker must link the recipient with a new provider as soon as possible. This will avoid potential override requests.
2. If the recipient must be disenrolled due to worker error (adult assigned to a pediatrician, male to an OB/GYN, PCP accepts established patients only, etc.) the county worker must re-enroll the recipient with an appropriate provider as soon as he becomes aware of the error.
3. **DMA strongly recommends** contacting all disenrolled recipients by phone or letter [\(ATT. 9\)](#) to explain the benefits of CCNC/CA and choose a new provider.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(VIII. I.)

J. Temporary Exemptions

1. When a caseworker determines that a recipient needs to be temporarily exempt for a reason not included in the exempt code chart in VII. D., approval to exempt from the DMA managed care section must be obtained. Complete the Carolina ACCESS Enrollment Form, [DMA- 9006, \(ATT.1\)](#).
2. Have the recipient choose a medical home (PCP) and include the name and provider number on the form, or if the recipient has not selected a PCP, put the name of the PCP to which the recipient will be assigned.
3. Provide a detailed explanation for requesting the temporary exemption.
4. FAX the enrollment form to:

Managed Care Section
Division of Medical Assistance
1-919-715-5235

If the county has a managed care representative (MCR), the State Managed Care Section has authorized the MCR to approve or deny the temporary exemption. If the county has a MCR, submit the enrollment form to the MCR.

5. The form will be returned to the fax number provided marked denied or approved with the exempt code to be used.
6. If approved, enter the assigned exempt code number.
7. If denied, enroll with the provider listed on the enrollment form.

IX. RECIPIENT EDUCATION

CCNC/CA strives to provide preventive care and reduce inappropriate use of the emergency room for routine medical care. In doing so, it is essential that the applicant/recipient receives education on how to appropriately access his medical provider. Enrollees should be encouraged to make an appointment with their PCP as soon as possible so that they can establish their medical history with their PCP. If a patient is not established with his provider, the provider can refuse to refer him to another provider. This could result in a patient being responsible for the medical bill. Enrollees should not wait until they are sick or injured to make an appointment to become established with their PCP.

At the time of application, redetermination or any time a recipient contacts the agency regarding CA, educate on the following topics:

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REISSUED 02/01/07 - CHANGE NO. 06-07

(IX.)

- A. CCNC/CA provides the recipient with a medical home and primary care provider who manages care for continuity and ensures services are provided that are medically necessary.
- B. The name of the medical home, along with the address and telephone number, will appear on each family member's individual Medicaid card.
- C. Your PCP arranges to provide medical advice 24 hours a day, 7 days per week. The number to call after the office closes is listed on the Medicaid card.
- D. Take your current month's Medicaid card when seeking any medical service.
- E. Call your Primary Care Provider (PCP) before going to any other doctor.
- F. Use the Emergency room only when you feel your life or health is in immediate danger.
- G. Get your PCP's approval before seeking specialty care. If you do not get approval you may be responsible for the medical bill.
- H. Go for regular preventive care such as Health Check, immunizations, checkups, mammograms, physicals, and cholesterol and diabetic screening.
- I. Some services do not need PCP approval such as dental or mental health services. (The patient handout includes a complete list of exempt services.)
- J. You may change providers by contacting your caseworker at the department of social services.

X. INFORMATION AND REFERRAL RESPONSIBILITY

The county DSS is not responsible for resolving recipient complaints, billing or claims issues, overrides, provider recruitment or enrollment. The **county** is responsible for referring all inquiries or concerns from providers and recipients to the appropriate place. Please use the following guide.

A. Complaints

1. The complaint process is an important component of CCNC/CA. It ensures providers are meeting their contractual obligations and that enrollees have access to appropriate and timely medical care. There are four categories of recipient complaints:
 - a. Quality of Care Complaints -This category includes complaints of substandard medical care or refusal to refer to a specialist issued.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(X. A.1.)

- b. Professional Conduct -This category includes inappropriate behaviors by the provider or office staff such as rudeness or verbal abusiveness as well as complaints alleging physical, sexual or substance abuse.
 - c. Contract Violations - This category includes complaints related to appointment availability, telephone coverage after office hours, office wait times, hospital admitting privileges, and disenrollment issues.
 - d. Program Fraud or Abuse - This includes inappropriate billing practices, maximizing reimbursement through coding, unauthorized use of a PCP referral number, and requesting cash payments from enrollees prior to billing Medicaid.
2. When a CA enrollee contacts the DSS regarding any of the above complaints, the employee receiving the call must:
- a. Ensure that the identifying information of both the enrollees and providers involved remains confidential.
 - b. Explain to the enrollee that he must register his complaint in writing to the Division of Medical Assistance (DMA).
 - c. Give or mail the enrollee the Carolina ACCESS Complaint Form and Complaint Form Instructions, [DMA 9001, \(ATT. 11a & b\)](#) Ask him to read the instructions carefully and complete and sign the form and mail to the address on the form. Also provide the CA toll free number 888/245-0179.
 - d. The Managed Care Section at DMA is responsible for all research, tracking, and follow-up.
 - e. If additional information is required from the county record, the Managed Care section will contact the CA contact person.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS

REVISED 10/01/08 - CHANGE NO. 14-08

(X.)

B. Overrides

Overrides are authorization numbers issued by EDS that allow providers to receive Medicaid reimbursement for medical services when unusual circumstances obstruct the proper application of CA guidelines. Overrides may be granted due to a worker error or any exceptional medical situation, program malfunctions, or extenuating circumstances beyond the control of the responsible parties.

1. When a provider contacts the DSS requesting an override for a past date of service, the employee receiving the call must:
 - a. Advise the provider he must complete the CA Override Request form and submit it to EDS if a denial for EOB 270 or 286 or the Primary Care Provider has been contacted and has refused to authorize treatment for past service. The request must be submitted within six months of the date of service. The Override Request form is in the April 2002 Medicaid Bulletin or can be accessed at DMA's web page at <http://www.ncdhhs.gov/dma/medicaid/index.htm>. If the provider has additional questions, refer him to his regional Community Care Consultant ([ATT. 12](#)).
 - b. EDS either approves or denies the override request and telephones or faxes the provider the decision.
 - c. If a change in the provider number or exempt status is required, this information is relayed to the CA contact to key in EIS for the ongoing month.
2. When a recipient contacts the DSS to request an override, instruct the recipient to contact the provider to request the override. **Only a provider may request an override.**
3. EDS generates a monthly Override Report. The Managed Care Section monitors this report.
 - a. When overrides due to worker error are excessive, the Managed Care Section will relay this information to the MPR supervisor.
 - b. The MPR will work with counties on an individual basis for corrective action.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS**REISSUED 10/01/08-CHANGE NO. 14-08**

(X.)

C. General CCNC/CA Provider Inquiries

If a provider contacts DSS, make the following referrals:

QUESTION	REFERRAL
Verify Medicaid eligibility	EDS Automated Voice Response (AVR) 800-723-4337
NCHC Eligibility or billing inquiries, claim resolution, or override requests	BCBS 800-422-4658
Billing inquiries, claim resolution, or override requests	EDS Provider Services 800-688-6696
Recruitment	Community Care Consultant (ATT. 12)
Provider education, training, workshops	Community Care Consultant or EDS
Provider Enrollment and Changes in PCP Agreement	DMA/Recipient and Provider Services 919-855-4050.
Health Check Questions	Community Care Consultant (ATT.12)
Questions regarding ACCESS II and III	Community Care Consultant (ATT.12)
ER Management Report	EDS Provider Services 800-688-6696

D. CCNC/CA Recipient Inquiries

1. If a recipient contacts the DSS regarding a general Medicaid question or issue relating to CCNC/CA education or enrollment, refer the recipient to his IMC. The IMC (and supervisor, if necessary) will make every attempt to answer CCNC/CA questions or resolve issues relating to education and enrollment. For CCNC/CA questions outside the scope of education and enrollment which the IMC cannot answer, refer the recipient to the Managed Care Customer Service Center for Health Choice, Monday-Friday, except state holidays, at 1-(888) 245-0179. In the Triangle area, call (919) 647-8170 (English/Spanish). For the hearing impaired call the CARE-LINE, Information and Referral Service number at 1-(800) 662-7030 or if in the Triangle area, call (919) 733-4851.
2. If a CCNC/CA enrollee contacts the DSS regarding a North Carolina Health Choice question on medical or provider services that cannot be resolved by the IMC or supervisor, refer the recipient to the Blue Cross/Blue Shield Customer Service Center at (800) 367-2229 or (800) 422-4658 Monday-Friday, except state holidays.

COMMUNITY CARE OF NORTH CAROLINA/CAROLINA ACCESS**REVISED 10/01/08 - CHANGE NO. 14-08**

(X.)

E. CCNC/CA Forms and Letters

1. The Carolina Access Enrollment Form, [DMA- 9006, \(ATT. 1\)](#), Carolina ACCESS Medical Exemption Request, [DMA-9002 \(ATT. 8\)](#), and the Carolina Complaint package, [DMA-9001 \(ATT. 11a and b\)](#) are mandatory. Please make copies for your use. If you would like an additional hard copy or electronic copy, contact the Community Care Section at 919/647-8170.
2. The attached letters are suggested templates to aid in the CA enrollment process. They may be customized for your use.
3. EIS will generate a daily notice to all NCHC recipients that have been linked to a CCNC/CA provider indicating the provider they have been linked to along with the daytime and after hours phone number.
4. EIS will generate a daily notice to all NCHC recipients who have changed their provider indicating the change and the new provider's information to which they have been assigned.

F. Instructions for Acquiring Material

1. PCP Directory – Forward the material to your CA Contact or the person responsible for maintaining the directory.
2. CA Reports – Follow your county's retention schedule for retaining reports.
3. SSI documentation – This should remain in the county. Consult with your supervisor about the best person to keep this documentation.
4. Provider complaint documentation – Send all records, notes, and forms to your regional Quality Management nurse. If you are currently investigating or following up with any contractual issues, forward this information to your Community Care Consultant.
5. Override requests – Shred this information based on your county's policy.
6. Exempt Requests – The County should maintain this documentation in the recipient's case record in order to have a paper trail of the reason recipients were approved for exemption.
7. Provider Contracts and any other information related to providers – Shred according to your county policy.
8. Carolina ACCESS member handbooks or Carolina ACCESS, [The Benefits of Being a Member](#), handout - [Per instructions on the DMA-2000a, contact 919-855-4160 to request the handbook.](#)